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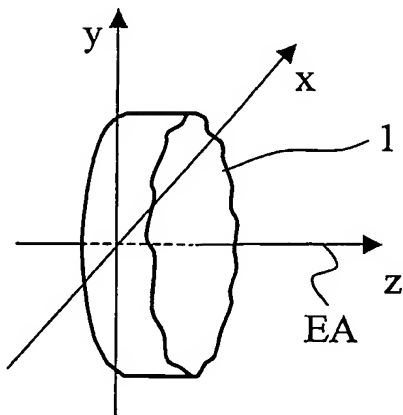
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(54) Title: **POLARIZATION-MODULATING OPTICAL ELEMENT**



(57) Abstract: A polarization-modulating optical element (1) consisting of an optically active crystal material has a thickness profile where the thickness, as measured in the direction of the optical axis, varies over the area of the optical element. The polarization-modulating optical element (1) has the effect that the plane of oscillation of a first linearly polarized light ray and the plane of oscillation of a second linearly polarized light ray are rotated, respectively, by a first angle of rotation and a second angle of rotation, with the first angle of rotation and the second angle of rotation being different from each other.

## POLARIZATION-MODULATING OPTICAL ELEMENT

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### BACKGROUND OF THE INVENTION

The invention relates to an optical element that affects the polarization of light rays. The optical element has a thickness profile and consists or comprises of an  
10 optically active crystal with an optical axis.

In the continuing effort to achieve structures of finer resolution in the field of microlithography, there is a parallel pursuit of substantially three guiding concepts. The  
15 first of these is to provide projection objectives of very high numerical aperture. Second is the constant trend towards shorter wavelengths, for example 248 nm, 193 nm, or 157 nm. Finally, there is the concept of increasing the achievable resolution by introducing an immersion medium of a high  
20 refractive index into the space between the last optical element of the projection objective and the light-sensitive substrate. The latter technique is referred to as immersion lithography.

25 In an optical system that is illuminated with light of a defined polarization, the s- and p-component of the electrical field vector, in accordance with Fresnel's equations, are subject to respectively different degrees of reflection and refraction at the interface of two media with  
30 different refractive indices. In this context and hereinafter, the polarization component that oscillates parallel to the plane of incidence of a light ray is referred to as p-component, while the polarization component that

oscillates perpendicular to the plane of incidence of a light ray is referred to as s-component. The different degrees of reflection and refraction that occur in the s-component in comparison to the p-component have a significant detrimental  
5 effect on the imaging process.

This problem can be avoided with a special distribution of the polarization where the planes of oscillation of the electrical field vectors of individual  
10 linearly polarized light rays in a pupil plane of the optical system have an approximately radial orientation relative to the optical axis. A polarization distribution of this kind will hereinafter be referred to as radial polarization. If a bundle of light rays that are radially polarized in accordance  
15 with the foregoing definition meets an interface between two media of different refractive indices in a field plane of an objective, only the p-component of the electrical field vector will be present, so that the aforementioned detrimental effect on the imaging quality is reduced considerably.

20

In analogy to the foregoing concept, one could also choose a polarization distribution where the planes of oscillation of the electrical field vectors of individual linearly polarized light rays in a pupil plane of the system  
25 have an orientation that is perpendicular to the radius originating from the optical axis. A polarization distribution of this type will hereinafter be referred to as tangential polarization. If a bundle of light rays that are tangentially polarized in accordance with this definition  
30 meets an interface between two media of different refractive indices, only the s-component of the electrical field vector will be present so that, as in the preceding case, there will be uniformity in the reflection and refraction occurring in a field plane.

Providing an illumination with either tangential or radial polarization in a pupil plane is of high importance in particular when putting the aforementioned concept of  
5 immersion lithography into practice, because of the considerable negative effects on the state of polarization that are to be expected based on the differences in the refractive index and the strongly oblique angles of incidence at the respective interfaces from the last optical element of  
10 the projection objective to the immersion medium and from the immersion medium to the coated light-sensitive substrate.

U.S. Patent 6,191,880 B1 discloses an optical arrangement for generating an approximately radial  
15 polarization. The arrangement includes among other things a raster of half-wave plates whose respective directions of preference are oriented so that when linearly polarized light passes through the raster arrangement, the plane of oscillation is rotated into the direction of a radius  
20 originating from the optical axis. However, because the raster arrangement is produced by joining a large number of individually oriented half-wave plates, it is expensive to produce. Furthermore, the change in the direction of the polarization is constant within the area of each individual  
25 half-wave plate whose diameter is typically between 10 and 20 mm, so that no continuous radial polarization can be produced through this concept.

A birefringent element of crystalline quartz with an  
30 irregularly varying thickness is proposed in DE 198 07 120 A1 for the compensation of local aberrations of a defined state of polarization in an optical system. However, the variation in thickness in a birefringent element of this type leads to locally different states of polarization. In particular, the

linear state of polarization is, as a rule, not preserved in an arrangement of this type.

5

#### OBJECT OF THE INVENTION

The present invention therefore has the objective to propose a polarization-modulating optical element which - with a minimum loss of intensity - affects the polarization of light rays in such a way that from linearly polarized light with a first distribution of the directions of the oscillation planes of individual light rays, the optical element generates linearly polarized light with a second distribution of the directions of the oscillation planes of individual light rays.

15

Further objects of the present invention are to propose an optical system with improved properties of the polarization-modulating optical element regarding thermal stability of the second distribution of oscillation planes (polarization distribution), and to minimize the influence of additional optical elements in the optical system to the polarization distribution after the light rays have passed these elements.

25

#### SUMMARY OF THE INVENTION

To meet the foregoing objectives, a polarization-modulating optical element is proposed which consists or comprises of an optically active crystal and which, according to the invention, is shaped with a thickness profile that varies in the directions perpendicular to the optical axis. Further, the optical systems as described in claims 57, 64, 65, 70 and 75 meet the objects of the present invention.

Additional preferred embodiments of the optical systems according to the present invention are given in the dependent claims.

5           A polarization-modulating optical element according to the invention has the effect that the plane of oscillation of a first linearly polarized light ray and the plane of oscillation of a second linearly polarized light ray are rotated, respectively, by a first and a second angle of  
10 rotation, with the first angle of rotation being different from the second angle of rotation. According to the invention, the polarization-modulating optical element is made of an optically active material.

15           Advantageous further developments of the inventive concept are described hereinafter.

          In order to generate from linearly polarized light an arbitrarily selected distribution of linearly polarized light  
20 rays with a minimum loss of intensity, an optically active crystal with an optical axis is used as raw material for the polarization-modulating optical element. The optical axis of a crystal, also referred to as axis of isotropy, is defined by the property that there is only one velocity of light  
25 propagation associated with the direction of the optical axis. In other words, a light ray traveling in the direction of an optical axis is not subject to a linear birefringence. The polarization-modulating optical element has a thickness profile that varies in the directions perpendicular to the  
30 optical axis of the crystal. The term "linear polarization distribution" in this context and hereinafter is used with the meaning of a polarization distribution in which the individual light rays are linearly polarized but the oscillation planes

of the individual electrical field vectors can be oriented in different directions.

If linearly polarized light traverses the  
5 polarization-modulating optical element along the optical axis  
of the crystal, the oscillation plane of the electrical field  
vector is rotated by an angle that is proportional to the  
distance traveled inside the crystal. The sense of rotation,  
i.e., whether the oscillation plane is rotated clockwise or  
10 counterclockwise, depends on the crystal material, for example  
right-handed quartz vs. left-handed quartz. The polarization  
plane is parallel to the respective directions of the  
polarization and the propagation of the light ray. In order  
to produce an arbitrarily selected distribution of the angles  
15 of rotation, it is advantageous if the thickness profile is  
designed so that the plane of oscillation of a first linearly  
polarized light ray and the plane of oscillation of a second  
linearly polarized light ray are rotated, respectively, by a  
first and a second angle of rotation, with the first angle of  
20 rotation being different from the second angle of rotation.  
By shaping the element with a specific thickness at each  
location, it is possible to realize arbitrarily selected  
angles of rotation for the oscillation planes.

25 Different optically active materials have been found  
suitable dependent on the wavelength of the radiation being  
used, specifically quartz,  $\text{TeO}_2$ , and  $\text{AgGaS}_2$ .

In an advantageous embodiment of the invention, the  
30 polarization-modulating optical element has an element axis  
oriented in the same direction as the optical axis of the  
crystal. In relation to the element axis, the thickness  
profile of the optical element is a function of the azimuth  
angle  $\theta$  alone, with the azimuth angle  $\theta$  being measured

relative to a reference axis that intersects the element axis at a right angle. With a thickness profile according to this design, the thickness of the optical element is constant along a radius that intersects the element axis at a right angle and  
5 forms an azimuth angle  $\theta$  with the reference axis.

In a further advantageous embodiment of the invention, an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  at a constant distance  $r$  from the element axis is a  
10 linear function of the azimuth angle  $\theta$ . In the ideal case, this azimuthal section has a discontinuity at the azimuth angle  $\theta=0$ . The linear function  $d(r=\text{const.}, \theta)$  at a constant distance  $r$  from the element axis has a slope  $|m| = \frac{180^\circ}{\alpha n r}$ , wherein  
 $\alpha$  stands for the specific rotation of the optically active  
15 crystal. At the discontinuity location for  $\theta=0$ , there is an abrupt step in the thickness by an amount of  $360^\circ/\alpha$ . The step at the discontinuity location can also be distributed over an azimuth angle range of a few degrees. However, this has the result of a non-optimized polarization distribution in the  
20 transition range.

In a further advantageous embodiment of the invention, an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  at a constant distance  $r$  from the element axis is a  
25 linear function of the azimuth angle  $\theta$  with the same slope  $m$  but, in the ideal case, with two discontinuities at the azimuth angles  $\theta=0$  and  $\theta=180^\circ$ , respectively. At each discontinuity location, there is an abrupt step in the thickness by an amount of  $180^\circ/\alpha$ . The two abrupt steps at the  
30 discontinuity locations can also be distributed over an azimuth angle range of a few degrees. However, this has the result of a non-optimized polarization distribution in the transition range.



In a further advantageous embodiment of the invention, an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  at a constant distance  $r$  from the element axis and in a first azimuth angle range of  $10^\circ < \theta < 170^\circ$  is a linear function of the azimuth angle  $\theta$  with a first slope  $m$ , while in a second azimuth angle range of  $190^\circ < \theta < 350^\circ$ , the azimuthal section is a linear function of the azimuth angle  $\theta$  with a second slope  $n$ . The slopes  $m$  and  $n$  have the same absolute magnitude but opposite signs. The magnitude of the slopes  $m$  and  $n$  at a distance  $r$  from the element axis is  $|m| = |n| = \frac{180^\circ}{\alpha n r}$ . With this arrangement, the thickness profile for all azimuth angles, including  $\theta = 0$  and  $\theta = 180^\circ$ , is a continuous function without abrupt changes in thickness.

15

In a further advantageous embodiment of the invention, the polarization-modulating optical element is divided into a large number of planar-parallel portions of different thickness or comprises at least two planar-parallel portions. These portions can for example be configured as sectors of a circle, but they could also have a hexagonal, square, rectangular, or trapezoidal shape.

In a further advantageous embodiment of the invention, a pair of first plan-parallel portions are arranged on opposite sides of a central element axis of said polarization-modulating optical element, and a pair of second plan-parallel portions are arranged on opposite sides of said element axis and circumferentially displaced around said element axis with respect to said first plan-parallel portions, wherein each of said first portions has a thickness being different from a thickness of each of said second portions.

In a further advantageous embodiment of the invention, a plane of oscillation of linearly polarized light passing through the polarization-modulating optical element is rotated by a first angle of rotation  $\beta_1$  within at least one of said first plan-parallel portions and by a second angle of rotation  $\beta_2$  within at least one of said second plan-parallel portions, such that  $\beta_1$  and  $\beta_2$  are approximately conforming or conform to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with  $n$  representing an integer.

10

In an advantageous embodiment,  $\beta_1$  and  $\beta_2$  are approximately conforming or conform to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with  $p$  representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with  $q$  representing an integer other than zero. As will discussed below in more detail, such an embodiment of the polarization modulating optical element may be advantageously used in affecting the polarization of traversing polarized light such that exiting light has a polarization distribution being -depending of the incoming light- either approximately tangentially or approximately radially polarized.

20

The pair of second plan-parallel portions may particularly be circumferentially displaced around said element axis with respect to said pair of first plan-parallel portions by approximately  $90^\circ$ .

25

In a further advantageous embodiment of the invention, said pair of first plan-parallel portions and said pair of second plan-parallel portions are arranged on opposite sides of a central opening or a central obscuration of said polarization-modulating optical element.

30

Adjacent portions of said first and second pairs can be spaced apart from each other by regions being opaque to linearly polarized light entering said polarization-modulating optical element. Said portions of said first and second group  
5 can particularly be held together by a mounting. Said mounting can be opaque to linearly polarized light entering said polarization-modulating optical element. The mounting can have a substantially spoke-wheel shape.

10 In a further advantageous embodiment of the invention, the polarization-modulating optical element comprises a first group of substantially planar-parallel portions wherein a plane of oscillation of traversing linearly polarized light is rotated by a first angle of rotation  $\beta_1$ , and a second group of  
15 substantially planar-parallel portions wherein a plane of oscillation of traversing linearly polarized light is rotated by a second angle of rotation, such that  $\beta_1$  and  $\beta_2$  are approximately conforming or conform to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with n representing an integer.

20 In a further advantageous embodiment of the invention,  $\beta_1$  and  $\beta_2$  are approximately conforming to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with p representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with q representing an integer other than zero.

25 In a further advantageous embodiment of the invention, the thickness profile of the polarization-modulating optical element has a continuous surface contour without abrupt  
30 changes in thickness, whereby an arbitrarily selected polarization distribution can be generated whose thickness profile is represented by a continuous function of the location.

To ensure an adequate mechanical stability of the optical element, it is important to make the minimal thickness  $d_{\min}$  of the polarization-modulating optical element at least  
5 equal to 0.002 times the element diameter D.

If the optically active material used for the optical element also has birefringent properties as is the case for example with crystalline quartz, the birefringence has to be  
10 taken into account for light rays whose direction of propagation deviates from the direction of the optical crystal axis. A travel distance of  $90^\circ/\alpha$  inside the crystal causes a linear polarization to be rotated by  $90^\circ$ . If birefringence is present in addition to the rotating effect, the  $90^\circ$  rotation  
15 will be equivalent to an exchange between the fast and slow axis in relation to the electrical field vector of the light. Thus, a total compensation of the birefringence is provided for light rays with small angles of incidence if the distance traveled inside the crystal equals an integer multiple of  
20  $180^\circ/\alpha$ . In order to meet the aforementioned requirement for mechanical stability while simultaneously minimizing the effects of birefringence, it is of particular advantage if the polarization-modulating optical element is designed with a minimum thickness of  $d_{\min} = N \cdot \frac{90^\circ}{\alpha}$ , where N represents a  
25 positive integer.

From a manufacturing point of view, it is particularly advantageous to provide the optical element with a hole at the center or with a central obscuration  
30

For light rays propagating not exactly parallel to the optical crystal axis, there will be deviations of the angle of rotation. In addition, the birefringence phenomenon will have

an effect. It is therefore particularly advantageous if the maximum angle of incidence of an incident light bundle with a large number of light rays within a spread of angles relative to the optical crystal axis is no larger than 100 mrad, preferably no larger than 70 mrad, and with special preference no larger than 45 mrad.

In order to provide an even more flexible control over a state of polarization, an optical arrangement is advantageously equipped with a device that allows at least one further polarization-modulating optical element to be placed in the light path. This further polarization-modulating optical element can be an additional element with the features described above. However, it could also be configured as a planar-parallel plate of an optically active material or an arrangement of two half-wavelength plates whose respective fast and slow axes of birefringence are rotated by 45° relative to each other.

The further polarization-modulating optical element that can be placed in the optical arrangement can in particular be designed in such a way that it rotates the oscillation plane of a linearly polarized light ray by 90°. This is particularly advantageous if the first polarization-modulating element in the optical arrangement produces a tangential polarization. By inserting the 90°-rotator, the tangential polarization can be converted to a radial polarization.

In a further embodiment of the optical arrangement, it can be advantageous to configure the further polarization-modulating optical element as a planar-parallel plate which works as a half-wavelength plate for a half-space that corresponds to an azimuth-angle range of 180°. This

configuration is of particular interest if the first polarization-modulating optical element has a thickness profile ( $r=\text{const.}, \theta$ ) that varies only with the azimuth angle  $\theta$  and if, in a first azimuth angle range of  $10^\circ < \theta < 170^\circ$ , the thickness profile ( $r=\text{const.}, \theta$ ) is a linear function of the azimuth angle  $\theta$  with a first slope  $m$ , while in a second azimuth angle range of  $190^\circ < \theta < 350^\circ$ , the thickness profile is a linear function of the azimuth angle  $\theta$  with a second slope  $n$ , with the slopes  $m$  and  $n$  having the same absolute magnitude but opposite signs.

The refraction occurring in particular at sloped surfaces of a polarization-modulating element can cause a deviation in the direction of an originally axis-parallel light ray after it has passed through the polarization-modulating element. In order to compensate this type of deviation of the wave front which is caused by the polarization-modulating element, it is advantageous to arrange a compensation plate of a not optically active material in the light path of an optical system, with a thickness profile of the compensation plate designed so that it substantially compensates an angular deviation of the transmitted radiation that is caused by the polarization-modulating optical element. Alternatively, an immersion fluid covering the profiled surface of the polarization-modulating element could be used for the same purpose.

Polarization-modulating elements of the foregoing description, and optical arrangements equipped with them, are advantageously used in projection systems for microlithography applications. In particular, polarization-modulating elements of this kind and optical arrangements equipped with them are well suited for projection systems in which the aforementioned immersion technique is used, i.e., where an immersion medium

with a refractive index different from air is present in the space between the optical element nearest to the substrate and the substrate.

5

#### BRIEF DESCRIPTION OF THE DRAWINGS

The invention will hereinafter be explained in more detail with reference to the attached drawings, wherein:

10 Figure 1 illustrates a polarization-modulating optical element with a thickness profile;

Figure 2 schematically illustrates how the plane of oscillation is rotated when a linearly polarized light ray propagates along the optical axis in an  
15 optically active crystal;

Figure 3 illustrates a first exemplary embodiment of a polarization-modulating optical element;

Figure 4a schematically illustrates a second exemplary embodiment of a polarization-modulating optical  
20 element;

Figure 4b illustrates the thickness profile as a function of the azimuth angle in the embodiment of the polarization-modulating optical element of Figure 4a;

25 Figure 4c illustrates the thickness profile as a function of the azimuth angle in a further embodiment of the polarization-modulating optical element;

Figure 4d illustrates the thickness profile as a function of the azimuth angle in the embodiment of the  
30 polarization-modulating optical element of Figure 3;

Figure 4e illustrates the thickness profile as a function of the azimuth angle in a further embodiment of the polarization-modulating optical element;

5        Figure 4f schematically illustrates a further exemplary embodiment of a polarization-modulating optical element;

10       Figure 5 schematically illustrates the polarization distribution of a bundle of light rays before and after passing through the polarization-modulating optical element with the thickness profile according to Figure 3 or 4d;

15       Figure 6 schematically illustrates the polarization distribution of a bundle of light rays before and after passing through an optical arrangement with the polarization-modulating optical element with the thickness profile according to Figure 3 and a further polarization-modulating optical element;

20       Figure 7a schematically illustrates the polarization distribution of a bundle of light rays before and after passing through an optical arrangement with the polarization-modulating optical element with the thickness profile according to Figure 4e and a planar-parallel plate, one half of which is configured as a half-wave plate;

25       Figure 7b shows a plan view of a planar-parallel plate, one half of which is configured as a half-wave plate;

Figure 8 schematically illustrates a microlithography projection system with a polarization-modulating optical element; and

30       Figure 9 schematically shows a parallel plane plate of optical active material used as a polarization-



modulating element by adjusting its temperature  
and/or temperature profile;

Figure 10 shows a combination of a parallel plate of optical  
active material with a plate made of birefringent  
material; and

Figure 11 shows schematically a temperature compensated  
polarization-modulating optical element for the  
application in an optical system.

#### PROVISIONAL DESCRIPTION OF PREFERRED EMBODIMENTS

Figure 1 illustrates a polarization-modulating optical  
element 1 of an optically active material. Particularly well  
suited for this purpose are optically active crystals with at  
least one optical crystal axis which are transparent for the  
wavelength of the light being used. For example  $\text{TeO}_2$  works in  
a range of wavelengths from 1000 nm down to 300 nm,  $\text{AgGaS}_2$   
works from 500 nm to 480 nm, and quartz from 800 nm down to  
193 nm. The polarization-modulating optical element 1 is  
designed so that the element axis is oriented parallel to the  
optical crystal axis. In order to produce a selected  
polarization distribution, the optical element 1 is designed  
with a thickness profile (measured parallel to the element  
axis EA) which varies in the directions perpendicular to the  
element axis EA, also comprising variations in thickness of  
the optical element in an azimuth direction  $\theta$  (see Fig. 3) at  
e.g. a fixed distance of the element axis EA.

Figure 2 will serve to explain the function of  
optically active crystals, and in particular of polarization-  
modulating elements made from such crystals, in more detail.

Optically active crystals have at least one optical axis OA which is inherent in the crystal structure. When linearly polarized light travels along this optical axis OA, the plane of oscillation of the electrical field vector 206 is  
5 rotated by an angle  $\beta$  of proportionate magnitude as the distance  $d$  traveled by the light inside the crystal 202. The proportionality factor between distance  $d$  and angle of rotation is the specific rotation  $\alpha$ . The latter is a material-specific quantity and is dependent on the wavelength  
10 of the light rays propagating through the crystal. For example in quartz, the specific rotation at a wavelength of 180 nm was measured as about  $\alpha = (325.2 \pm 0.5)^\circ/\text{mm}$ , at 193 nm  $\alpha = 323.1^\circ/\text{mm}$  at a temperature of 21.6 °C.

It is also important for the present invention,  
15 applying optically active materials in an illumination system and/or an objective of a projection optical system of e.g. a projection apparatus used in microlithography, that also the temperature dependency of the specific rotation is considered. The temperature dependency of the specific rotation  $\alpha$  for a  
20 given wavelength is to a good and first linear approximation given by  $\alpha(T) = \alpha_0(T_0) + \gamma(T - T_0)$ , where  $\gamma$  is the linear temperature coefficient of the specific rotation  $\alpha$ . In this case  $\alpha(T)$  is the optical activity coefficient or specific rotation at the temperature  $T$  and  $\alpha_0$  is the specific rotation at a reference  
25 temperature  $T_0$ . For optical active quartz material the value  $\gamma$  at a wavelength of 193 nm and at room temperature is  $\gamma = 2.36 \text{ mrad}/(\text{mm} \cdot \text{K})$ .

Referring again to Fig. 2, in particular, light that propagates inside the crystal 202 along the optical axis OA is  
30 not subject to a linear birefringence. Thus, when a linearly polarized light ray traverses an optically active crystal 202 along the optical axis OA, its state of polarization remains

the same except for the change in the spatial orientation of the plane of oscillation of the electrical field vector 206 which depends on the distance  $d$  traveled by the light ray inside the crystal 202.

5

Based on this property of an optically active crystal, it is possible to produce an arbitrarily selected linear polarization distribution by designing the polarization-modulating optical element 1 of Figure 1 with a thickness profile that varies dependent on the location. The thickness profile is designed to have the effect that the directions of polarization of parallel linearly polarized light rays are rotated by an angle that varies dependent on the location where the light ray traverses the optical element.

15

More general, alternative or in addition to the variation of the thickness  $d = d(x,y)$  of the polarization-modulating element, the specific rotation  $\alpha$  may itself be dependent on the location within the modulating element such that  $\alpha$  becomes an  $\alpha(x,y,z)$  or  $\alpha(r,\theta,z)$ , where  $x,y$  or  $r,\theta$  are Cartesian or polar coordinates in a plane perpendicular to the element axis EA (or alternative to the optical axis OA) of the polarization-modulating element, as shown e.g. in Fig. 1, where  $z$  is the axis along the element axis EA. Of course also a description in spherical-coordinates like  $r,\theta,\phi$ , or others is possible. Taking into account the variation of the specific rotation  $\alpha$ , the polarization-modulating optical element in general comprises a varying profile of the "optical effective thickness  $D$ " defined as  $D(x,y) = d(x,y) * \alpha(x,y)$ , if there is no dependency of  $\alpha$  in  $z$ -direction. In the case that  $\alpha$  may also depend on the  $z$ -direction (along the optical axis or element axis EA, or more general along a preferred direction in an optical system or a direction parallel to the optical axis of

25  
30

an optical system)  $D$  has to be calculated by integration  
 $D(x,y) = \int \alpha(x,y,z) dz(x,y)$ , along the polarization-modulating  
optical element. In general, if a polarization-modulating  
optical element is used in an optical system, having an  
5 optical axis or a preferred direction defined by the  
propagation of a light beam through the optical system, the  
optical effective thickness  $D$  is calculated by integrating the  
specific rotation  $\alpha$  along the light path of a light ray within  
the polarization-modulating optical element. Under this  
10 general aspect the present invention relates to an optical  
system comprising an optical axis or a preferred direction  
given by the direction of a light beam propagating through the  
optical system. The optical system also comprises a  
polarization-modulating optical element described by  
15 coordinates of a coordinate system, wherein one preferred  
coordinate of the coordinate system is parallel to the optical  
axis of the optical system or parallel to the preferred  
direction. As an example, in the above case this preferred  
direction was the  $z$ -coordinate which is the preferred  
20 coordinate. Additionally the polarization-modulating optical  
element comprises optical active material and also a profile  
of effective optical thickness  $D$  as defined above, wherein the  
effective optical thickness  $D$  varies at least as a function of  
one coordinate different from the preferred coordinate of the  
25 coordinate system describing the polarization-modulating  
optical element. In the above example the effective optical  
thickness  $D$  varies at least as a function of the  $x$ - or  $y$ -  
coordinate, different from the  $z$ -coordinate (the preferred  
coordinate). There are different independent methods to vary  
30 the effective optical thickness of an optical active material.  
One is to vary the specific rotation by a selection of  
appropriate materials, or by subjecting the optically active  
material to a non-uniform temperature distribution, or by  
varying the geometrical thickness of the optically active

material. Also combinations of the mentioned independent methods result in a variation of the effective optical thickness of an optical active material.

5           Figure 3 illustrates an embodiment of the polarization-modulating optical element 301 which is suited specifically for producing a tangential polarization. A detailed description will be presented in the context of Figures 4d and 5. The embodiment illustrated in Figure 3 will  
10   serve to introduce several technical terms that will be used hereinafter with the specific meanings defined here.

          The polarization-modulating optical element 301 has a cylindrical shape with a base surface 303 and an opposite  
15   surface 305. The base surface 303 is designed as a planar circular surface. The element axis EA extends perpendicular to the planar surface. The opposite surface 305 has a contour shape in relation to the element axis EA in accordance with a given thickness profile. The optical axis of the optically  
20   active crystal runs parallel to the element axis EA. The reference axis RA, which extends in the base plane, intersects the element axis at a right angle and serves as the reference from which the azimuth angle  $\theta$  is measured. In the special configuration illustrated in Figure 3, the thickness of the  
25   polarization-modulating optical element 301 is constant along a radius R that is perpendicular to the element axis EA and directed at an angle  $\theta$  relative to the reference axis RA. Thus, the thickness profile in the illustrated embodiment of Figure 3 depends only on the azimuth angle  $\theta$  and is given by  $d$   
30    $= d(\theta)$ . The optical element 301 has an optional central bore 307 coaxial with the element axis EA. In an other preferred embodiment of the polarization-optical element the thickness may vary along the radius R such that the thickness profile is  $d = d(R, \theta)$ . In a further more generalized preferred embodiment

the thickness profile shown in Fig. 3 is not representing the geometrical thickness  $d$  of the polarization-optical element, as described above, but the profile represents the optical effective thickness  $D = D(R, \theta) = D(x, y)$ , depending on the used coordinate system. In this case also any profile of the specific rotation like e.g.  $\alpha = \alpha(x, y) = \alpha(R, \theta)$  or  $\alpha = \alpha(x, y, z) = \alpha(R, \theta, z)$  is considered in the profile of the polarization-modulating optical element which is effective for a change in the direction of the polarization plane of a passed light beam.

In addition it should be mentioned that the polarization-modulating optical element 301 not necessary need to comprise a planar base surface 303. This surface in general can also comprise a contour shaped surface e.g. similar or equal to the surface as designated by 305 shown in Fig. 3. In such a case it is of advantage to describe the contour surfaces 303 and 305 relative to a plane surface perpendicular to the optical axis or element axis.

Figure 4a schematically illustrates a further embodiment of the polarization-modulating optical element 401. The element axis EA through the center of the polarization-modulating optical element 401 in this representation runs perpendicular to the plane of the drawing, and the optical crystal axis of the crystal runs parallel to the element axis. Like the embodiment of Figure 3, the polarization-modulating optical element 401 has an optional central bore 407. The polarization-modulating optical element 401 is divided into a large number of planar-parallel portions 409 in the shape of sectors of a circle which differ in their respective thicknesses. Alternative embodiments with different shapes of the portions 409 are conceivable. They could be configured,

e.g., as hexagonal, square, rectangular or trapeze-shaped raster elements.

As described in connection with Fig. 3, the embodiment according to Fig. 4 a can be modified such that the different thicknesses of the sectors should be understood as different effective optical thicknesses  $D$ . In this case the specific rotation  $\alpha$  may vary from one segment to the other too. To manufacture such an embodiment, the polarization-modulating optical element can e.g. have a shape as shown in Fig. 4a in which the sectors 409 are at least partly exchanged e.g. by any optical inactive material, which is the simplest case to vary the specific rotation  $\alpha$  to zero. Also as a further embodiment the sectors 409 may be replaced by cuvettes or cells which are filed with an optical active or optical inactive liquid. In this case the polarization-modulating optical element may comprise optical active and optical inactive sections. If the sectors 409 are only partly replaced by cuvettes or if at least one cuvette is used in the polarization-modulating optical element 401, a combination of e.g. optical active crystals with e.g. optical active or optical inactive liquids in one element 40 is possible. Such an optical system according to the present invention may comprise a polarization-modulating optical element which comprises an optically active or an optically inactive liquid and/or an optically active crystal. Further, it is advantageously possible that the polarization-modulating optical element of the optical system according to the present invention comprises clockwise and counterclockwise optically active materials. These materials could be solid or liquid optically active materials. Using liquids in cuvettes has the advantage that by changing the liquids, or the concentration of the optical active material within the liquid, the magnitude of the change in polarization can be easily

controlled. Also any thermal changes of the specific rotation  $\alpha$  due to the thermal coefficient  $\gamma$  of the specific rotation  $\alpha$  can be controlled e.g. by temperature control of the optical active liquid such that either the temperature is constant  
5 within the cuvette, or that the temperate has predefined value  $T$  such that the specific rotation will have the value  $\alpha(T) = \alpha_0(T_0) + \gamma \cdot (T - T_0)$ . Also the formation of a certain temperature distribution within the liquid may be possible with appropriate heating and/or cooling means controlled by control  
10 means.

The optical systems in accordance with the present invention advantageously modify respective planes of oscillation of a first linearly polarized light ray and a  
15 second linearly polarized light ray. Both light rays propagating through the optical system, and being at least a part of the light beam propagating through the optical system. The light rays are also passing the polarization-modulating optical element with different paths, and are rotated by a  
20 respective first and second angle of rotation such that the first angle is different of the second angle. In general the polarization-modulating optical element of the optical systems according to the present invention transform a light bundle with a first linear polarization distribution, which enters  
25 said polarization-modulating optical element, into a light bundle exiting said polarization-modulating optical element. The exiting light bundle having a second linear polarization distribution, wherein the second linear polarization distribution is different from the first linear polarization  
30 distribution.

Figure 4b shows the thickness profile along an azimuthal section  $d(r=\text{const.}, \theta)$  for the polarization-modulating optical element 401 divided into sectors as shown



in Figure 4a. The term azimuthal section as used in the present context means a section traversing the thickness profile  $d(\theta, r)$  along the circle 411 marked in Figure 4a, i.e., extending over an azimuth angle range of  $0^\circ \leq \theta \leq 360^\circ$  at a constant radius  $r$ . In general the profile shows the optical effective thickness  $D = D(\theta)$  along a circle 411.

An azimuthal section of a polarization-modulating optical element 401 that is divided into sector-shaped portions has a stair-shaped profile in which each step corresponds to the difference in thickness  $d$  or optical effective thickness  $D$  between neighboring sector elements. The profile has e.g. a maximum thickness  $d_{\max}$  and a minimum thickness  $d_{\min}$ . In order to cover a range of  $0 \leq \beta \leq 360^\circ$  for the range of the angle of rotation of the oscillation plane of linearly polarized light, there has to be a difference of  $360^\circ/\alpha$  between  $d_{\max}$  and  $d_{\min}$ . The height of each individual step of the profile depends on the number  $n$  of sector elements and has a magnitude of  $360^\circ/(n \cdot \alpha)$ . At the azimuth angle  $\theta = 0^\circ$ , the profile has a discontinuity where the thickness of the polarization-modulating optical element 401 jumps from  $d_{\min}$  to  $d_{\max}$ . A different embodiment of the optical element can have a thickness profile in which an azimuthal section has two discontinuities of the thickness, for example at  $\theta = 0^\circ$  and  $\theta = 180^\circ$ .

In an alternative embodiment the profile has e.g. a maximum optical effective thickness  $D_{\max}$  and a minimum optical effective thickness  $D_{\min}$ , and the geometrical thickness  $d$  is e.g. constant, resulting in a variation of the specific rotation  $\alpha$  of the individual segments 409 of the element 401. In order to cover a range of  $0 \leq \beta \leq 360^\circ$  for the range of the angle of rotation of the oscillation plane of linearly polarized light, there has to be a difference of  $360^\circ/d$

between  $\alpha_{\max}$  and  $\alpha_{\min}$ . The change of the specific rotation of each individual step of the profile depends on the number  $n$  of sector elements 409 and has a magnitude of  $360^\circ/(n \cdot d)$ . At the azimuth angle  $\theta = 0^\circ$ , the profile has a discontinuity  
5 regarding the optical effective thickness where it jumps from  $D_{\min}$  to  $D_{\max}$ . It should be pointed out, that advantageously in this embodiment there is no discontinuity in the geometrical thickness  $d$  of the polarization-modulating element 401. Also the thickness profile of the optical effective thickness in  
10 which an azimuthal section has two discontinuities of the optical effective thickness can easily be realized, for example at  $\theta = 0^\circ$  and  $\theta = 180^\circ$ . To realize the defined changes in magnitude of the specific rotation of  $\Delta\alpha = 360^\circ/(n \cdot d)$  (if there are  $n$  angular segments 409 to form the element 401), the  
15 individual sector elements 409 are preferably made of or comprises cuvettes or cells, filled with an optical active liquid with the required specific rotation  $\alpha$ . As an example, for the  $m$ -th sector element the specific rotation is  $\alpha(m) = \alpha_{\min} + m \cdot 360^\circ/(n \cdot d)$ , and  $0 \leq m \leq n$ . The required specific  
20 rotation e.g. can be adjusted by the concentration of the optical active material of the liquid, or by changing the liquid material itself.

In a further embodiment the segments 409 of a  
25 polarization-modulating optical element 401 may comprise components of solid optically active material (like crystalline quartz) and cells or cuvettes filled with optically active material, and these components are placed behind each other in the light propagation direction.  
30 Alternative or in addition the cuvette itself may comprise optically active material like crystalline quartz.

The polarization-modulating optical element of the foregoing description converts linearly polarized incident light into a linear polarization distribution in which the oscillation planes of linearly polarized light rays are  
5 rotated by an angle that depends on the thickness (or optical effective thickness) of each individual sector element. However, the angle by which the direction of polarization is rotated is constant over an individual sector element. Thus, the distribution function for the directions of the  
10 oscillation planes of the individual field vectors takes only certain discrete values.

A continuous distribution of linear polarizations can be achieved with an optical element that has a continuously  
15 varying thickness (optical effective thickness) profile along an azimuthal section.

An example of a continuously varying thickness profile is illustrated in Figure 4c. The azimuthal section 411 in  
20 this embodiment shows a linear decrease in thickness (in general optical effective thickness) with a slope  $m = -180^\circ/(\alpha \cdot \pi)$  over an azimuth-angle range of  $0 \leq \theta \leq 360^\circ$ . Here the slope is defined a slope of a screw. Alternatively the slope can be defined by  $m = -180^\circ/(\alpha \cdot \pi \cdot r)$  where  $r$  is the  
25 radius of a circle centered at the element axis EA. In this case the slope depends on the distance of the element axis, e.g. if the polarization-modulating optical element 301 has a given constant screw-slope (lead of a screw).

30 The symbol  $\alpha$  in this context stands for the specific rotation of the optically active crystal. As in the previously described embodiment of Figure 4b, the thickness profile of Figure 4c has likewise a discontinuity at the azimuth angle  $\theta = 0^\circ$ , the thickness of the polarization-

modulating optical element 401 jumps from  $d_{\min}$  to  $d_{\max}$  by an amount of approximately  $360^\circ/\alpha$ .

A further embodiment of a polarization-modulating optical element which is shown in Figure 4d has a thickness profile (in general optical effective thickness profile) which is likewise suitable for producing a continuous distribution of linear polarizations, in particular a tangentially oriented polarization. This thickness profile corresponds to the embodiment shown in Figure 3, in which the angle  $\theta$  is measured in counterclockwise direction. The azimuthal section 411 in this embodiment is a linear function of the azimuth angle  $\theta$  with a slope  $m = -180^\circ/(\alpha \cdot n)$  over each of two ranges of  $0 < \theta < 180^\circ$  and  $180^\circ < \theta < 360^\circ$ . The thickness profile has discontinuities at  $\theta = 0^\circ$  and  $\theta = 180^\circ$  where the thickness rises abruptly from  $d_{\min}$  to  $d_{\max}$  by an amount of  $180^\circ/\alpha$ .

Figure 4e represents the thickness profile (in general optical effective thickness profile) along an azimuthal section for a further embodiment of the polarization-modulating optical element 401. The azimuthal section is in this case a linear function of the azimuth angle  $\theta$  with a first slope  $m$  for  $0 < \theta < 180^\circ$  and with a second slope  $n$  for  $180^\circ < \theta < 360^\circ$ . The slopes  $m$  and  $n$  are of equal absolute magnitude but have opposite signs. The respective amounts for  $m$  and  $n$  at a distance  $r$  from the element axis are  $m = -180^\circ/(\alpha \cdot n \cdot r)$  and  $n = 180^\circ/(\alpha \cdot n \cdot r)$ . While the difference between the minimum thickness  $d_{\min}$  and the maximum thickness  $d_{\max}$  is again approximately  $180^\circ/\alpha$ , i.e., the same as in the embodiment of Figure 4d, the concept of using opposite signs for the slope in the two azimuth angle ranges avoids the occurrence of discontinuities.

Additionally it is mentioned that for certain special applications clockwise and counterclockwise optically active materials are combined in a polarization-modulating optical element.

5

As the slope of the thickness profile along an azimuthal section increases strongly with smaller radii, it is advantageous from a manufacturing point of view to provide a central opening 407 or a central obscuration in a central  
10 portion around the central axis of the circular polarization-modulating optical element.

It is furthermore necessary for reasons of mechanical stability to design the polarization-modulating optical  
15 element with a minimum thickness  $d_{\min}$  of no less than two thousandths of the element diameter. It is particularly advantageous to use a minimum thickness of  $d_{\min} = N \cdot 90^\circ / \alpha$ , where  $N$  is a positive integer. This design choice serves to minimize the effect of birefringence for rays of an incident  
20 light bundle which traverse the polarization-modulating element at an angle relative to the optical axis.

Figure 4f schematically illustrates a further embodiment 421 of the polarization-modulating optical element.  
25 As in Figure 4a, the element axis EA through the center of the polarization-modulating optical element 421 runs perpendicular to the plane of the drawing, and the optical crystal axis runs parallel to the element axis. However, in contrast to the embodiments of Figures 3 and 4a where the polarization-modulating optical elements 301, 401 are made preferably of  
30 one piece like in the case of crystalline material like crystalline quartz, the polarization-modulating optical element 421 comprises of four separate sector-shaped parts 422, 423, 424, 425 of an optically active crystal material

which are held together by a mounting device 426 which can be made, e.g., of metal and whose shape can be described as a circular plate 427 with four radial spokes 428. The mounting is preferably opaque to the radiation which is entering the polarization-modulating optical element, thereby serving also as a spacer which separates the sector-shaped parts 422, 423, 424, 425 from each other. Of course the embodiment of the present invention according to Fig. 4f is not intended to be limited to any specific shape and area of mounting device 426, which may also be omitted.

According to an alternate embodiment not illustrated in Fig. 4f, incident light which is entering the polarization-modulating optical element can also be selectively directed onto the sector-shaped parts, e.g. by means of a diffractive structure or other suitable optical components.

The sector-shaped parts 422 and 424 have a first thickness  $d_1$  which is selected so that the parts 422 and 424 cause the plane of oscillation of linearly polarized axis-parallel light to be rotated by  $90^\circ + p \cdot 180^\circ$ , where  $p$  represents an integer. The sector-shaped parts 423 and 425 have a second thickness  $d_2$  which is selected so that the parts 423 and 425 cause the plane of oscillation of linearly polarized axis-parallel light to be rotated by  $q \cdot 180^\circ$ , where  $q$  represents an integer other than zero. Thus, when a bundle of axis-parallel light rays that are linearly polarized in the y-direction enters the polarization-modulating optical element 421, the rays that pass through the sector-shaped parts 423 and 425 will exit from the polarization-modulating optical element 421 with their plane of oscillation unchanged, while the rays that pass through the sector-shaped parts 422 and 424 will exit from the polarization-modulating optical element 421 with their plane of oscillation rotated into the x-direction. As a

result of passing through the polarization-modulating optical element 421, the exiting light has a polarization distribution which is exactly tangential at the centerlines 429 and 430 of the sector-shaped parts 422, 423, 424, 425 and which  
5 approximates a tangential polarization distribution for the rest of the polarization-modulating optical element 421.

When a bundle of axis-parallel light rays that are linearly polarized in the x-direction enters the polarization-  
10 modulating optical element 421, the rays that pass through the sector-shaped parts 423 and 425 will exit from the polarization-modulating optical element 421 with their plane of oscillation unchanged, while the rays that pass through the sector-shaped parts 422 and 424 will exit from the  
15 polarization-modulating optical element 421 with their plane of oscillation rotated into the y-direction. As a result of passing through the polarization-modulating optical element 421, the exiting light has a polarization distribution which is exactly radial at the centerlines 429 and 430 of the  
20 sector-shaped parts 422, 423, 424, 425 and which approximates a radial polarization distribution for the rest of the polarization-modulating optical element 421.

Of course the embodiment of the present invention  
25 according to Fig. 4f is not intended to be limited to the shapes and areas and the number of sector-shaped parts exemplarily illustrated in Fig. 4f, so that other suitable shapes (having for example but not limited to trapeze-shaped, rectangular, square, hexagonal or circular geometries) as well  
30 as more or less sector-shaped parts 422, 423, 424 and 425 can be used. Furthermore, the angles of rotation  $\beta_1$  and  $\beta_2$  provided by the sector-shaped parts 422, 423, 424, 425 (i.e. the corresponding thicknesses of the sector-shaped parts 422, 423, 424, 425) may be more generally selected to approximately

conform to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with  $n$  representing an integer, for example to consider also relative arrangements where incoming light is used having a polarization plane which is not necessarily aligned with the x- or y-direction. With the embodiments as described in connection with Fig. 4f it is also possible to approximate polarization distributions with a tangential polarization.

10 In order to produce a tangential polarization distribution from linearly polarized light with a wave length of 193 nm and a uniform direction of the oscillation plane of the electric field vectors of the individual light rays, one can use for example a polarization-modulating optical element  
15 of crystalline quartz with the design according to Figures 3 and 4d. The specific rotation  $\alpha$  of quartz for light with a wavelength of 193 nm is in the range of  $(325.2 \pm 0.5)^\circ/\text{mm}$ , which was measured at a wavelength of 180 nm, or more precise it is  $321.1^\circ/\text{mm}$  at  $21.6^\circ\text{C}$ . The strength and effect of the  
20 optical activity is approximately constant within a small range of angles of incidence up to 100 mrad. An embodiment could for example be designed according to the following description: An amount of  $276.75\ \mu\text{m}$ , which approximately equals  $90^\circ/\alpha$ , is selected for the minimum thickness  $d_{\min}$ , if  
25 crystalline quartz is used. Alternatively, the minimum thickness  $d_{\min}$  can also be an integer multiple of this amount. The element diameter is 110 mm, with the diameter of the optically active part being somewhat smaller, for example 105 mm. The base surface is designed as a planar surface as  
30 illustrated in Figure 3. The opposite surface has a thickness profile  $d(r, \theta)$  in accordance with Figure 4d. The thickness profile is defined by the following mathematical relationships:



$$D(r, \theta) = 276.75 + \frac{180^\circ - \theta}{180^\circ} \cdot 553.51 \mu\text{m} \text{ for } 0 \leq \theta \leq 180^\circ \text{ and}$$

$$r > \frac{10.5}{2} \text{ mm}$$

$$D(r, \theta) = 276.75 + \frac{360^\circ - \theta}{180^\circ} \cdot 553.51 \mu\text{m} \text{ for } 180 \leq \theta \leq 360^\circ \text{ and}$$

$$r > \frac{10.5}{2} \text{ mm}$$

$$5 \quad D(r, \theta) = 0 \quad \text{for } r \leq \frac{10.5}{2} \text{ mm}$$

The above mentioned data are based exemplarily for a specific rotation  $\alpha$  of  $(325.2 \pm 0.5)^\circ/\text{mm}$ . If the specific rotation  $\alpha$  changes to  $321.1^\circ/\text{mm}$ , the value at 193 nm and at a temperature of  $21.6^\circ\text{C}$ , the thickness profile will change as follows:

$$D(r, \theta) = 278.6 + \frac{180^\circ - \theta}{180^\circ} \cdot 557 \mu\text{m} \quad \text{for } 0 \leq \theta \leq 180^\circ \text{ and } r > \frac{10.5}{2} \text{ mm}$$

$$D(r, \theta) = 278.6 + \frac{360^\circ - \theta}{180^\circ} \cdot 557 \mu\text{m} \quad \text{for } 180 \leq \theta \leq 360^\circ \text{ and } r > \frac{10.5}{2} \text{ mm}$$

$$D(r, \theta) = 0 \quad \text{for } r \leq \frac{10.5}{2} \text{ mm}$$

15 The polarization-modulating optical element according to this embodiment has a central opening 407 with a diameter 10.5, i.e., one-tenth of the maximum aperture. The thickness maxima and minima, which are found at the discontinuities, are  $830.26 \mu\text{m}$  and  $276.75 \mu\text{m}$ , respectively for the first given example.

20

The embodiment of the foregoing description can be produced with a robot-polishing process. It is particularly advantageous to produce the polarization-modulating element from two wedge-shaped or helically shaped half-plates which are seamlessly joined together after polishing. If the element is produced by half-plates, it is easy and in some

applications of additional advantage to use one clockwise and one counterclockwise optically active material like clockwise crystalline and counterclockwise crystalline quartz (R-quartz and L-quartz).

5

Figure 5 schematically illustrates how a polarization-modulating optical element 501 with a thickness profile according to Figures 3 and 4d converts the polarization distribution of an entering light bundle 513 with a uniformly oriented linear polarization distribution 517 into a tangential polarization 519 of an exiting light bundle 515. This can be visualized as follows: A linearly polarized light ray of the entering light bundle 513 which traverses the polarization-modulating optical element at a location of minimum thickness, for example at  $\theta = 180^\circ$ , covers a distance of  $90^\circ/\alpha$  inside the optically active crystal. This causes the oscillation plane of the electrical field vector to be rotated by  $90^\circ$ . On the other hand, a linearly polarized light ray traversing the polarization-modulating optical element 501 at a location with  $\theta = 45^\circ$  covers a distance of  $135^\circ/\alpha$  inside the optically active crystal, thus the oscillation plane of the electrical field vector of this ray is rotated by  $135^\circ$ . Analogous conclusions can be drawn for each light ray of the entering light bundle 513.

25

Figure 6 schematically illustrates how an optical arrangement with a polarization-modulating optical element 601 with a thickness profile according to Figures 3 and 4d in combination with a further polarization-modulating element 621 converts the polarization distribution of an entering light bundle 613 with a uniformly oriented linear polarization distribution 617 into a radial polarization 623 of an exiting light bundle 615. As explained in the context of Figure 5, the polarization-modulating optical element 601 produces a

tangential polarization distribution. A tangential polarization distribution can be converted into a radial polarization distribution by a 90°-rotation of the respective oscillation plane of each individual linearly polarized ray of the light bundle. There are several different possibilities to accomplish this with an optical arrangement according to Figure 6. One possible concept is to arrange a planar-parallel plate of an optically active crystal as a further polarization-modulating element 621 in the light path, where the thickness of the plate is approximately  $90^\circ/\alpha_p$  with  $\alpha_p$  representing the specific rotation of the optically active crystal. As in the polarization-modulating element 601, the optical crystal axis of the planar parallel plate runs likewise parallel to the element axis. As another possible concept, the further polarization-modulating element 621 can be configured as a 90°-rotator that is assembled from two half-wave plates. A 90°-rotator consists of two half-wave plates of birefringent crystal material. Each plate has a slow axis associated with the direction of the higher refractive index and, perpendicular to the slow axis, a fast axis associated with the direction of the lower refractive index. The two half-wave plates are rotated relative to each other so their respective fast and slow axes are set at an angle of 45° from each other.

25

Of course further possible embodiments for producing a radial polarization distribution are conceivable within the scope of the invention. For example, the further polarization-modulating optical element 621 can be connected to the polarization-modulating optical element 601. To allow a fast change-over from tangential to radial polarization, one could provide an exchange device that allows the further polarization-modulating element 621 to be placed in the light

30

path and to be removed again or to be replaced by another element.

A tangential polarization distribution can also be  
5 produced with a polarization-modulating optical element that has a thickness profile in accordance with figure 4e. The thickness profile in this embodiment of the invention has no discontinuities. As visualized in Figure 7a, the uniformly oriented polarization distribution 717 of the entering light  
10 bundle 713 is first transformed by the polarization-modulating optical element 701 into a linear polarization distribution 727 of an exiting light bundle 715. The one-half of the entering light bundle 713 that passes through the polarization-modulating optical element 701 in the azimuth  
15 range  $0 \leq \theta \leq 180^\circ$  of the thickness profile shown in Figure 4e is converted so that the corresponding one-half of the exiting light bundle has a tangential polarization distribution. The other half, however, has a different, non-tangential polarization distribution 727. A further polarization-  
20 modulating optical element is needed in the light path in order to completely convert the polarization distribution 727 of the light bundle 715 exiting from the polarization-modulating optical element 701 into a tangential polarization distribution 719. The further polarization-modulating optical  
25 element is in this case configured as a planar-parallel plate 725 with a first half 729 and a second half 731. A plan view of the planar-parallel plate 725 is shown in Figure 7b. The first half 729 is made of an isotropic material that has no effect on the state of polarization of a light ray, while the  
30 second half 731 is designed as a half-wave plate. The planar-parallel plate 725 in the optical arrangement of Figure 7a is oriented so that a projection RA' of the reference axis RA of the polarization-modulating optical element 701 onto the planar-parallel plate runs substantially along the separation

line between the first half 729 and the second half 731. The slow axis LA of the birefringence of the half-wave plate is perpendicular to this separation line. Alternatively tangential polarization can also be achieved with a polarization-modulating optical element, having a thickness profile as given by Fig. 4e, if the element is composed of two half wedge-shaped or helically shaped elements of crystalline quartz, wherein the optical activity of one element is clockwise and that of the other is counterclockwise. In this case no additional plane-parallel plate 725 is necessary, as it is in the embodiment of Fig. 7a. In this embodiment preferably each wedge-shaped element has a constant screw-slope, but the slopes have different directions as shown in the profile of Fig. 4e. Further, it is not necessary that the slopes of the geometrical thickness  $d$  have the same absolute values, it is sufficient if the slopes  $D$  of the optical effective thicknesses have the same absolute values. In this case the specific rotations  $\alpha$  are different regarding absolute values for the two wedge-shaped elements which form the polarization-modulating optical element.

Figure 8 schematically illustrates a microlithography projection system 833 which includes the light source unit 835, the illumination system 839, the mask 853 which carries a microstructure, the projection objective 855, and the substrate 859 that is being exposed to the projection. The light source unit 835 includes a DUV- or VUV-laser, for example an ArF laser for 192 nm, an F<sub>2</sub> laser for 157 nm, an Ar<sub>2</sub> laser for 126 nm or a Ne<sub>2</sub> laser for 109 nm, and a beam-shaping optical system which produces a parallel light bundle. The rays of the light bundle have a linear polarization distribution where the oscillation planes of the electrical field vectors of the individual light rays are oriented in a uniform direction. The principal configuration of the

illumination system 839 is described in DE 195 29 563 (US 6,258,433). The parallel light bundle falls on the divergence-increasing optical element 837. As a divergence-increasing optical element, one could use for example a raster plate with an arrangement of diffractive or refractive raster elements. Each raster element generates a light bundle whose angle distribution is determined by the dimension and focal length of the raster element. The raster plate is located in or near the object plane of an objective 840 that follows downstream in the light path. The objective 840 is a zoom objective which generates a parallel light bundle with a variable diameter. A direction-changing mirror 841 directs the parallel light bundle to an optical unit 842 which contains an axicon (i.e., a rotationally symmetric prism arrangement) 843. The zoom objective 840 in cooperation with the axicon 843 generates different illumination profiles in the pupil plane 845, depending on the setting of the zoom and the position of the axicon elements. A polarization-modulating optical element 801, for example of the kind shown in Figure 3, is arranged in the pupil plane 845. The polarization-modulating optical element 801 is followed in the light path by a compensation plate 847 which has a thickness profile designed to compensate the angle deviations which the polarization-modulating optical element causes in the light rays that pass through it. The optical unit 842 is followed by a reticle-masking system (REMA) 849. The REMA Objective 851 projects an image of the reticle-masking system 849 onto the structure-carrying mask (reticle) 853, whereby the illuminated area of the reticle 853 is delimited. The projection objective 855 projects the image of the structure-carrying mask 853 onto the light-sensitive substrate 859. The space between the last optical element 857 of the projection objective and the light-sensitive substrate 859 contains an

immersion liquid 861 with a refractive index different from air.

An additional advantage of the present invention is  
5 that polarization-modulating optical elements or the optical system according to the present invention can be used for adjusting the polarization distribution and also for temperature compensation of the polarization distribution in a microlithography projection system as described in Fig. 8.  
10 Advanced microlithography projection systems require in some applications a predefined polarization distribution at the reticle 853 with an accuracy of about 5° or even better, in some cases even better than 1°.

15 Since the polarization distribution at the reticle is influenced by the various optical elements by e.g. tension-induced birefringence, or by undefined or uncontrolled changes of the temperature of individual optical elements, the polarization distribution can unpredictably or uncontrollably  
20 change over time. To correct such changes the temperature dependency of the specific rotation  $\alpha$  of the polarization-modulating optical element can be used to control the magnitude of the polarization angles. The optical system according to an embodiment of the present invention preferably  
25 comprises a polarization control system for controlling the polarization distribution of the light beam which is propagating through the optical system. The polarization distribution of interest is at a predefined location in the optical system. The polarization control system comprises at  
30 least one heating or cooling device to modify the temperature and/or the temperature distribution of the polarization-modulating optical element to affect the polarization distribution of the light beam at the predefined location. Here the polarization-modulating optical element may have a

varying or constant effective optical thickness.

In the case of a constant effective optical thickness the optical system comprises an optical axis or a preferred  
5 direction given by the direction of a light beam propagating through the optical system. The optical system additionally comprises a polarization-modulating optical element described by coordinates of a coordinate system, wherein one preferred  
10 coordinate of the coordinate system is parallel to the optical axis or parallel to said preferred direction. The polarization-modulating optical element comprises solid and/or liquid optically active material, wherein the effective optical thickness is constant as a function of at least one coordinate different from the preferred coordinate of the  
15 coordinate system. The optical system comprises further a polarization control system for controlling the polarization distribution of the light beam (propagating through the optical system) at a predefined location in the optical system, and the polarization control system comprises at least  
20 one heating or cooling device to modify the temperature and/or the temperature distribution of the polarization-modulating optical element to affect the polarization distribution of the light beam at the predefined location.

25 As an example, if the polarization-modulating optical element (as used e.g. in the optical system according to the present invention) is made of synthetic (crystalline) quartz, comprising a parallel plate or formed as a parallel plate, a thickness of 10 mm of such a plate will result in a change of  
30 polarization of  $23.6 \text{ mrad}/^{\circ}\text{C}$  or  $23.6 \text{ mrad}/\text{K}$ , equivalent to  $1.35^{\circ}/\text{K}$ , due to the linear temperature coefficient  $\gamma$  of the specific rotation  $\alpha$  with  $\gamma = 2.36 \text{ mrad}/(\text{mm}\cdot\text{K})$ . These data correspond to a wavelength of 193 nm. In such an embodiment, which is schematically shown in Fig. 9, the optical axis OA of



the parallel plate 901 is directed parallel or approximately parallel to the propagation of the light (indicated by reference numeral 950) in the optical system. Approximately parallel means that the angle between the optical axis OA of the parallel plate 901 and the direction of the light propagating through the optical system is smaller than 200 mrad, preferably smaller than 100 mrad or even smaller than 50 mrad. Controlling the temperature of the plate 901 will result in a controlled change of polarization. If for example the temperature of the plate will be controlled in a range of about 20°C to 40°C, the polarization angles can be controllably changed in a range of about  $\pm 13.5^\circ$  for such a plate 901 made of quartz. This high sensitivity allows a control of the polarization distribution by temperature control. In such a case even a plane plate with a thickness d of about 0.1 mm up to 20 mm will become a polarization-modulating optical element 901, able to controllably adjust a polarization distribution by controlling the temperature of the plate 901. Preferably for synthetic (crystalline) quartz the thickness of the plate 901 is  $n \cdot 278.5 \mu\text{m}$  (n is any integer) which results in a rotation of a polarization plane of at least  $90^\circ$  for  $n=1$  and  $180^\circ$  for  $n=2$  and in general  $n \cdot 90^\circ$ , for a wavelength of 193 nm at about 21.6 °C. For a  $90^\circ$  rotation of the polarization plane the synthetic quartz should be at least 278.5  $\mu\text{m}$  thick and for  $180^\circ$  at least 557.1  $\mu\text{m}$ , for  $270^\circ$  the thickness should be 835.5  $\mu\text{m}$  and for a  $360^\circ$  rotation of the polarization the thickness is 1.114 mm. The manufacturing tolerances regarding thickness are about  $\pm 2 \mu\text{m}$ . Thus the manufacturing tolerance results in an inaccuracy of the angle of the polarization plane of the light which passes the plate of about  $\pm 0.64^\circ$  at about 21.6°C and 193 nm. To this inaccuracy an additional inaccuracy caused by temperature fluctuation of the plate (or polarization-modulating optical element) have to be considered, which is given by the linear

temperature coefficient  $\gamma$  of the specific rotation  $\alpha$  with is  $\gamma$   
= 2.36 mrad/(mm\*K) = 0.15°/(mm\*K) .

The temperature control of the plate 901 can be done  
5 by closed-loop or open-loop control, using a temperature  
sensing device with at least one temperature sensor 902, 903  
for determining the temperature of the plate 901 (or providing  
a temperature sensor value which is representative or equal to  
the temperature and/or the temperature distribution of the  
10 polarization-modulating optical element), at least a heater  
904, 905, preferably comprising an infrared heater, for  
heating the plate by infrared radiation 906, and a control  
circuit 910 for controlling the at least one heater 904, 905.  
As an example of a temperature sensing device a infrared  
15 sensitive CCD-element with a projection optics may be used,  
wherein the projection optics images at least a part of the  
plate 901 onto the CCD-element such that a temperature profile  
of the viewed part of the plate 901 can be determined by the  
analysis of the CCD-element signals. The control circuit 910  
20 may comprise a computer system 915 or may be connected to the  
computer or control system 915 of the microlithography  
projection system 833 (see Fig. 8). In a preferred embodiment  
of the temperature controlled plate 901 the thickness is  
chosen such that a rotation of the polarization of  $n \cdot 90^\circ$ ,  $n$  is  
25 any integer number, is achieved at a temperature  $T = (T_{\max} -$   
 $T_{\min}) / 2 + T_{\min}$ , whereas  $T_{\max}$  and  $T_{\min}$  are the maximum and minimum  
temperatures of the plate 901 (or in general the polarization-  
manipulating optical element). Preferably the heater or  
heating system (and also any cooling device like a Peltier  
30 element) is arranged such that it is not in the optical path  
of the microlithography projection system 833, or that it is  
not in the optical path of the light beam which is propagating  
through the optical system according to an embodiment of the  
present invention. Preferably the optical system with the

polarization control system according to the present invention is used in a system with at least one additional optical element arranged between the polarization-modulating optical element and the predefined location in the optical system such  
5 that the light beam contacts the at least one additional optical element when propagating from the polarization-modulating optical element to the predefined location. The additional optical element preferably comprises a lens, a prism, a mirror, a refractive or a diffractive optical element  
10 or an optical element comprising linear birefringent material. Thus the optical system according to the present invention may form a part of a microlithography projection system 833.

In a further preferred embodiment the temperature of  
15 the polarization-manipulating optical element 901 (the plate as shown in Fig. 9) corresponds to a predefined temperature profile. As an example, such a temperature profile is achieved by using a plurality of infrared heaters 904, 905 to produce a radiation distribution across the optical element 901 which  
20 heats the optical element 901 in a controlled way with a control circuit as already described. In such an embodiment also a plurality of temperature sensors 902, 903 can be used for the control circuit 910. With this embodiment the polarization state in a field plane or pupil plane of the  
25 microlithography projection system 833 can be adjusted locally.

Alternatively or in addition the heater or heating elements 904, 905 may be replaced or supplemented by one or  
30 more Peltier-elements 907, 908. The Peltier-element or elements are preferably connected to the control circuit 910 such that a control by open and/or closed loop control is possible. The advantage of the Peltier-elements is that also a controlled cooling of the polarization-manipulating optical

element 901 can be achieved. Heating and cooling the optical element 901 at the same time result in complex temperature distributions in the polarization-modulating optical element 901, which result in complex polarization distributions of the light 950 propagating e.g. through the microlithography projection system 833, after passing the element 901. Of course, other heating and cooling means than the ones mentioned above can be used to achieve a required temperature profile or a required temperature of the polarization-modulating optical element 901.

The application of the plane plate 901 as polarization-modulating optical element 801 in the illumination system of a microlithography projection apparatus 833 (see Fig. 8) is preferably in the pupil plane 845 and/or at positions between the light source unit 835 and the mentioned pupil plane 845. Applying the plane plate 901 at these locations has the advantage that the angle of incidence of the light which passes through the plate 901 and also passing through the microlithography projection apparatus is smaller than about  $6^\circ$  (100 mrad). At these small angles the influence of linear birefringence, caused by the plate 901, is very small such that the polarization of the light after passing the plate 901 is almost linear with negligible elliptical parts, if the light was linearly polarized before entering the plate 901.

In a further preferred embodiment of the invention the state of the polarization of the light passed through the polarization-modulating element 901 or the optical system according to the present invention is measured. For this the polarization control system comprises a polarization measuring device providing a polarization value representative for or equal to the polarization or the polarization distribution of

the light beam at the predetermined location in the optical system. Further, the control circuit controls the at least one heating or cooling device dependent on the temperature sensor value and/or the polarization value by open or closed loop control. The measured state of polarization is compared with a required state and in the case that the measured state deviates more than a tolerable value, the temperature and/or the temperature distribution of the polarizing-modulating element like the plane plate 901 is changed such that the difference between the measured and the required state of polarization becomes smaller, and if possible such small that the difference is within a tolerable value. In Fig. 9 the measurement of the state of polarization is measured in-situ or with a separate special measurement, depending on the polarization measuring device 960. The polarization measuring device may be connected with the control circuit 910, such that depending on the measured polarization state values the heating means 904, 905 and/or 907, 908 are controlled heated and/or cooled such that the measured and the required state of polarization becomes smaller. The control can be done in open or closed loop modus.

The plane plate 901 used as polarization-modulating optical element or being a part of such element is especially appropriate to correct orientations of polarization states of the passed light bundles.

In a further embodiment of the present invention the plane plate 901 (comprising or consisting of optically active material), used as a polarization-modulating optical element, is combined with a plate 971 (see Fig. 10), comprising or consisting of linear birefringent material. With this embodiment of the invention the orientation and the phase of the passing light bundle 950 can be subjected such that e.g. a

plane polarized light bundle becomes elliptically polarized after passing both plane plates 901 and 971, or vice versa. In this embodiment at least one plate 901 or 971 is controlled regarding its temperature and/or temperature distribution as described in connection with Fig. 9. Further, the sequence of the plates 901 and 971 may be changed such that the passing light bundles are first passing through the plate 971, comprising or consisting of linear birefringent material, and then through the plate 901, comprising or consisting of optical active material, or vice versa. Preferably both plates are consecutively arranged along the optical axis OA of the system. Also, more than one plate comprising or consisting of linear birefringent material, and/or more than one plate comprising or consisting of optical active material may be used to manipulate the state of polarization of the passing light bundles. Further, a plane plate 971, or 901 may be exchanged by a liquid cell or cuvette containing optically active material. Also the plane plates 971, comprising or consisting of linear birefringent material, and plate 901, comprising or consisting of optical active material, can be arranged such that at least one other optical element 981 is placed between these plane plates. This element 981 can be for example a lens, a diffractive or refractive optical element, a mirror or an additional plane plate.

In an additional embodiment of the present invention a polarization-modulating element or in general a polarizing optical element is temperature compensated to reduce any inaccuracy of the polarization distribution generated by the polarization-modulating element due to temperature fluctuations of said element, which for synthetic quartz material is given by the linear temperature coefficient  $\gamma$  of the specific rotation  $\alpha$  for quartz (which is as already mentioned above  $\gamma = 2.36 \text{ mrad}/(\text{mm}\cdot\text{K}) = 0.15^\circ/(\text{mm}\cdot\text{K})$ ). The

temperature compensation makes use of the realization that for synthetic quartz there exist one quartz material with a clockwise and one quartz material with a counterclockwise optical activity (R-quartz and L-quartz). Both, the clockwise  
 5 and the counterclockwise optical activities are almost equal in magnitude regarding the respective specific rotations  $\alpha$ . The difference of the specific rotations is less than 0,3%. Whether the synthetic quartz has clockwise (R-quartz) or counterclockwise (L-quartz) optical activity depends on the  
 10 seed-crystal which is used in the manufacturing process of the synthetic quartz.

R- and L-quartz can be combined for producing a thermal or temperature compensated polarization-modulating optical  
 15 element 911 as shown in Fig. 11. Regarding the change of the state of polarization such a temperature compensated polarization-modulating optical element 911 is equivalent to a plane plate of synthetic quartz of thickness  $d$ . For example, two plane plates 921 and 931 are arranged behind each other in  
 20 the direction 950 of the light which is propagating through the optical system which comprises the temperature compensated polarization-modulating optical element 911. The arrangement of the plates is such that one plate 931 is made of R-quartz with thickness  $d_R$ , and the other 921 is made of L-quartz with  
 25 thickness  $d_L$ , and  $|d_R - d_L| = d$ . If the smaller thickness of  $d_R$  and  $d_L$  ( $\min(d_R, d_L)$ ) is larger than  $d$  or  $\min(d_R, d_L) > d$ , which in most cases is a requirement due to mechanical stability of the optical element, then the temperature dependence of the polarization state becomes partly compensated, meaning that  
 30 the temperature dependence of the system of R-quartz and L-quartz plates is smaller than  $\gamma = 2.36 \text{ mrad}/(\text{mm} \cdot \text{K}) \cdot d = 0.15^\circ/(\text{mm} \cdot \text{K}) \cdot d$ , wherein  $d$  is the absolute value of the difference of the thicknesses of the two plates  $d = |d_R - d_L|$ . The following example demonstrates this effect. A R-quartz

plate 931 with a thickness of e.g.  $d_R = 557.1 \mu\text{m}$  (resulting in a  $180^\circ$  clockwise change of the exiting polarization plane compared to the incident polarization plane) is combined with a L-quartz plate 921 with a thickness of  $d_L = 557.1 \mu\text{m} + 287.5 \mu\text{m}$  (resulting in a  $270^\circ$  counterclockwise change of the exiting polarization plane compared to the incident polarization). This result in a  $90^\circ$  counterclockwise change of the polarization plane after the light pass both plane plates 921, 931, corresponding to a  $270^\circ$  clockwise change of the polarization plane if just a R-quartz plate would be used. In this case the temperature compensation is not fully achieved, but it is reduced to value of about  $0.04^\circ/\text{K}$  if both plates are used, compared to  $0,13^\circ/\text{K}$  if just a R-quartz plate of  $d_R = 557.1 \mu\text{m} + 287.5 \mu\text{m}$  would be used. This is a significant reduction of temperature dependency, since even if the temperature will change by  $10^\circ\text{C}$  the change of the polarization plane is still smaller than  $1^\circ$ .

In general any structured polarization-modulating optical element made of R- or L-quartz, like e.g. the elements as described in connection with Figures 3 and 4a can be combined with a plane plate of the respective other quartz type (L- or R-quartz) such that the combined system 911 will have a reduced temperature dependence regarding the change of the polarization. Instead of the plane plate also a structured optical element made of the respective other quartz type may be used such that in Fig. 11 the shown plates 921 and 931 can be structured polarization-modulating optical elements as mentioned in this specification, having specific rotations of opposite signs, changing the state of polarization clockwise and counterclockwise.

To generalize the above example of a temperature compensated polarization-modulating optical element 911, the present



invention also relates to an optical system comprising an optical axis OA or a preferred direction 950 given by the direction of a light beam propagating through the optical system. The optical system comprising a temperature

5 compensated polarization-modulating optical element 911 described by coordinates of a coordinate system, wherein one preferred coordinate of the coordinate system is parallel to the optical axis OA or parallel to said preferred direction 950. The temperature compensated polarization-modulating

10 optical element 911 comprises a first 921 and a second 931 polarization-modulating optical element. The first and/or the second polarization-modulating optical element comprising solid and/or liquid optically active material and a profile of effective optical thickness, wherein the effective optical

15 thickness varies at least as a function of one coordinate different from the preferred coordinate of the coordinate system. In addition or alternative the first 921 and/or the second 931 polarization-modulating optical element comprises solid and/or liquid optically active material, wherein the

20 effective optical thickness is constant as a function of at least one coordinate different from the preferred coordinate of the coordinate system. As an additional feature, the first and the second polarization-modulating optical elements 921, 931 comprise optically active materials with specific

25 rotations of opposite signs, or the first polarization-modulating optical element comprises optically active material with a specific rotation of opposite sign compared to the optically active material of the second polarization-modulating optical element. In the case of plane plates,

30 preferably the absolute value of the difference of the first and the second thickness of the first and second plate is smaller than the thickness of the smaller plate.

In an additional embodiment of the present invention a polarization-modulating element comprises an optically active and/or optically inactive material component subjected to a magnetic field such that there is a field component of the magnetic field along the direction of the propagation of the light beam through the polarization-modulating element. The optical active material component may be construed as described above. However, also optical inactive materials can be used, having the same or similar structures as described in connection with the optical active materials. The application of a magnetic field will also change the polarization state of the light passing through the optical active and/or optical inactive material due to the Faraday-effect, and the polarization state can be controlled by the magnetic field.

15

Various embodiments for a polarization-modulating optical element or for the optical systems according to the present invention are described in this application. Further, also additional embodiments of polarization-modulating optical elements or optical systems according to the present invention may be obtained by exchanging and/or combining individual features and/or characteristics of the individual embodiments described in the present application.

20

## PATENT CLAIMS:

1. A polarization-modulating optical element which has a  
5 thickness profile or comprises a thickness profile and  
consists of or comprises an optically active crystal with  
an optical axis, wherein the thickness profile, as  
measured in the direction of the optical axis, is  
variable.  
10
2. The polarization-modulating optical element according to  
claim 1, wherein respective planes of oscillation of a  
first linearly polarized light ray and a second linearly  
polarized light ray are rotated, respectively, by a first  
15 angle of rotation and a second angle of rotation in such a  
way that the first angle of rotation is different from the  
second angle of rotation.
3. The polarization-modulating optical element according to  
20 claim 1 or 2, wherein the optically active crystal is  
quartz,  $\text{TeO}_2$  or  $\text{AgGaS}_2$ .
4. The polarization-modulating optical element according to  
one of the claims 1 to 3, wherein the polarization-  
25 modulating optical element transforms an entering light  
bundle with a first linear polarization distribution into  
an exiting light bundle with a second linear polarization  
distribution, and wherein the first linear polarization  
distribution is different from the second linear  
30 polarization distribution.
5. The polarization-modulating optical element according to  
claim 4, wherein the polarization distribution of the

exiting light bundle is an approximately tangential polarization distribution.

- 5 6. The polarization-modulating optical element according to claim 4, wherein the polarization distribution of the exiting light bundle is an approximately radial polarization distribution.
- 10 7. The polarization-modulating optical element according to one of the claims 1 to 6, wherein the polarization-modulating optical element has an element axis oriented substantially in the direction of the optical axis of the optically active crystal, and wherein the thickness profile in relation to the element axis has a variation
- 15 that depends only on an azimuth angle  $\theta$ , said azimuth angle  $\theta$  being measured from a reference axis that runs perpendicular to the element axis and intersects the element axis.
- 20 8. The polarization-modulating optical element according to claim 7, wherein the thickness profile has a constant value along a radius that is oriented perpendicularly to the element axis and at an angle  $\theta$  relative to the reference axis.
- 25 9. The polarization-modulating optical element according to claim 7 or 8, wherein an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  in a range of azimuth angles  $10^\circ < \theta < 350^\circ$  and at a constant distance  $r$  from
- 30 the element axis is a linear function of the azimuth angle  $\theta$ , wherein this azimuthal section has a slope  $m$  conforming approximately to the expression  $|m| = \frac{180^\circ}{\alpha \pi r}$ , with  $\alpha$

representing the specific rotation of the optically active crystal.

10. The polarization-modulating optical element according to  
5 claim 9, wherein the azimuthal section  $d(r=\text{const.}, \theta)$  has a substantially jump-like increase of  $360^\circ/\alpha$  at the azimuth angle  $\theta=0^\circ$ .
11. The polarization-modulating optical element according to  
10 claim 7 or 8, wherein an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  in a range of azimuth angles  $10^\circ < \theta < 170^\circ$  and  $190^\circ < \theta < 350^\circ$  at a constant distance  $r$  from the element axis is a linear function of the azimuth angle  $\theta$ , wherein this azimuthal section has a  
15 slope  $m$  conforming approximately to the expression  
 $|m| = \frac{180^\circ}{\alpha nr}$ , with  $\alpha$  representing the specific rotation of the optically active crystal.
12. The polarization-modulating optical element according to  
20 claim 11, wherein the azimuthal section  $d(r=\text{const.}, \theta)$  has a substantially jump-like increase of  $180^\circ/\alpha$  at the azimuth angles  $\theta=0^\circ$  and  $\theta=180^\circ$ .
13. The polarization-modulating optical element according to  
25 claim 7 or 8, wherein an azimuthal section  $d(r=\text{const.}, \theta)$  of the thickness profile  $d(r, \theta)$  at a constant distance  $r$  from the element axis and in a first azimuth angle range of  $10^\circ < \theta < 170^\circ$  is a linear function of the azimuth angle  $\theta$  with a first slope  $m$ , while in a second azimuth angle  
30 range of  $190^\circ < \theta < 350^\circ$ , the azimuthal section is a linear function of the azimuth angle  $\theta$  with a second slope  $n$ , wherein the slopes  $m$  and  $n$  have the same absolute magnitude but opposite signs, and wherein the magnitude of

the slopes  $m$  and  $n$  conforms to the expression  $|m| = |n| = \frac{180^\circ}{\alpha n r}$   
with  $\alpha$  representing the specific rotation of the optically  
active crystal.

5 14. The polarization-modulating optical element according to  
one of the claims 1 to 8, wherein the polarization-  
modulating optical element consists of or comprises at  
least two planar-parallel portions of different thickness  
or different optical effective thickness .

10

15. The polarization-modulating optical element  
according to claim 14, wherein said portions are  
configured as sectors of a circle, or as hexagonal,  
square, rectangular, or trapeze-shaped raster elements  
15 and/or comprise at least a cuvette comprising an  
optically active or optically inactive liquid.

16. The polarization-modulating optical element  
according to claim 14 or 15, wherein a pair of first  
20 plan-parallel portions are arranged on opposite sides of  
a central element axis of said polarization-modulating  
optical element, and wherein a pair of second plan-  
parallel portions are arranged on opposite sides of said  
element axis and circumferentially displaced around said  
25 element axis with respect to said first plan-parallel  
portions, wherein each of said first portions has a  
thickness or optical effective thickness being different  
from a thickness or optical effective thickness of each  
of said second portions.

30

17. The polarization-modulating optical element  
according to claim 16, wherein a plane of oscillation of  
linearly polarized light passing there through is rotated

by a first angle of rotation  $\beta_1$  within at least one of said first plan-parallel portions and by a second angle of rotation  $\beta_2$  within at least one of said second plan-parallel portions, such that  $\beta_1$  and  $\beta_2$  are approximately conforming to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with n  
5 representing an integer.

18. The polarization-modulating optical element according to claim 17, wherein  $\beta_1$  and  $\beta_2$  are approximately conforming to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with p  
10 representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with q representing an integer other than zero.

19. The polarization-modulating optical element according to anyone of the claims 16 to 18, wherein said pair of second plan-parallel portions is circumferentially displaced around said element axis with respect to said pair of first plan-parallel portions by approximately  $90^\circ$ .  
15

20. The polarization-modulating optical element according to anyone of the claims 16 to 19, wherein said pair of first plan-parallel portions and said pair of second plan-parallel portions are arranged on opposite sides of a central opening or a central obscuration of said polarization-modulating optical element.  
20  
25

21. The polarization-modulating optical element according to anyone of the claims 16 to 20, wherein adjacent portions of said first and second pairs are spaced apart from each other by regions being opaque or not optically active to linearly polarized light entering said polarization-modulating optical element.  
30

22. The polarization-modulating optical element  
according to claim 16 to 21, wherein said portions of  
said first and second group are held together by a  
5 mounting.
23. The polarization-modulating optical element  
according to claim 22, wherein said mounting is opaque or  
not optically active to linearly polarized light entering  
10 said polarization-modulating optical element.
24. The polarization-modulating optical element  
according to claim 22 or 23, wherein said mounting has a  
substantially spoke-wheel shape.  
15
25. The polarization-modulating optical element  
according to anyone of the claims 14 to 24, comprising a  
first group of substantially planar-parallel portions  
wherein a plane of oscillation of traversing linearly  
20 polarized light is rotated by a first angle of rotation  
 $\beta_1$ , and a second group of substantially planar-parallel  
portions wherein a plane of oscillation of traversing  
linearly polarized light is rotated by a second angle of  
rotation, such that  $\beta_1$  and  $\beta_2$  are approximately conforming  
25 to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with n representing  
an integer.
26. The polarization-modulating optical element  
according to claim 25, wherein  $\beta_1$  and  $\beta_2$  are approximately  
30 conforming to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with p  
representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with q  
representing an integer other than zero.



27. The polarization-modulating optical element according to one of the claims 1 to 8, wherein the thickness profile or profile of effective optical thickness has a continuous shape.
- 5
28. The polarization-modulating optical element according to one of the claims 1 to 27, further having an element diameter D and a minimal thickness  $d_{\min}$ , wherein the minimal thickness  $d_{\min}$  is at least equal to 0.002 times the element diameter D.
- 10
29. The polarization-modulating optical element according to one of the claims 1 to 28, wherein the thickness profile has a minimal thickness  $d_{\min} = N \cdot \frac{90^\circ}{\alpha}$ ,
- 15 with  $\alpha$  representing the specific rotation of the optically active crystal and N representing a positive integer.
30. The polarization-modulating optical element according to one of the claims 1 to 29, wherein the polarization-modulating optical element has a central opening or a central obscuration.
- 20
31. The polarization-modulating optical element according to one of the claims 1 to 30, wherein the polarization-modulating optical element transforms an entering light bundle with a first polarization distribution into an exiting light bundle with a second polarization distribution, wherein the entering light bundle consists of a multitude of light rays with an angle distribution relative to the optical axis of the optically active crystal, and wherein the angle
- 25
- 30

distribution has a maximum angle of incidence not exceeding 100 mrad.

5        32.        A polarization-modulating optical element, wherein  
the plane of oscillation of a first linearly polarized  
light ray and the plane of oscillation of a second  
linearly polarized light ray are rotated, respectively,  
by a first angle of rotation and a second angle of  
rotation in such a way that the first angle of rotation  
10        is different from the second angle of rotation, and  
wherein the polarization-modulating optical element  
consists of an optically active material or comprises an  
optically active material.

15        33.        The polarization-modulating optical element  
according to claim 32, comprising at least two planar-  
parallel portions of different thickness, or different  
effective optical thickness.

20        34.        The polarization-modulating optical element  
according to claim 33, wherein said portions are  
configured as sectors of a circle, or as hexagonal,  
square, rectangular, or trapeze-shaped raster elements,  
or wherein said portions comprises at least one cuvette  
25        with an optically active or optically inactive liquid.

30        35.        The polarization-modulating optical element  
according to claim 33 or 34, wherein a pair of first  
plan-parallel portions are arranged on opposite sides of  
a central element axis of said polarization-modulating  
optical element, and wherein a pair of second plan-  
parallel portions are arranged on opposite sides of said  
element axis and circumferentially displaced around said  
element axis with respect to said first plan-parallel

portions, wherein each of said first portions has a thickness or effective optical thickness being different from a thickness or effective optical thickness of each of said second portions.

5

36. The polarization-modulating optical element according to claim 35, wherein a plane of oscillation of linearly polarized light passing therethrough is rotated by a first angle of rotation  $\beta_1$  within at least one of said first plan-parallel portions and by a second angle of rotation  $\beta_2$  within at least one of said second plan-parallel portions, such that  $\beta_1$  and  $\beta_2$  are approximately conforming to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with  $n$  representing an integer.

10

37. The polarization-modulating optical element according to claim 36, wherein  $\beta_1$  and  $\beta_2$  are approximately conforming to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with  $p$  representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with  $q$  representing an integer other than zero.

15

38. The polarization-modulating optical element according to anyone of the claims 35 to 37, wherein said pair of second plan-parallel portions is circumferentially displaced around said element axis with respect to said pair of first plan-parallel portions by approximately  $90^\circ$ .

20

39. The polarization-modulating optical element according to anyone of the claims 35 to 38, wherein said pair of first plan-parallel portions and said pair of second plan-parallel portions are arranged on opposite

25

sides of a central opening or a central obscuration of said polarization-modulating optical element.

- 5        40.        The polarization-modulating optical element  
adjacent portions of said first and second pairs are  
spaced apart from each other by regions being opaque or  
not optically active to linearly polarized light entering  
said polarization-modulating optical element.
- 10       41.        The polarization-modulating optical element  
according to claim 35 to 40, wherein said portions of  
said first and second group are held together by a  
mounting.
- 15       42.        The polarization-modulating optical element  
according to claim 41, wherein said mounting is opaque or  
not optically active to linearly polarized light entering  
said polarization-modulating optical element.
- 20       43.        The polarization-modulating optical element  
according to claim 41 or 42, wherein said mounting has a  
substantially spoke-wheel shape.
- 25       44.        The polarization-modulating optical element  
according to anyone of the claims 33 to 43, comprising a  
first group of substantially planar-parallel portions  
wherein a plane of oscillation of traversing linearly  
polarized light is rotated by a first angle of rotation  
30        $\beta_1$ , and a second group of substantially planar-parallel  
portions wherein a plane of oscillation of traversing  
linearly polarized light is rotated by a second angle of  
rotation, such that  $\beta_1$  and  $\beta_2$  are approximately conforming

to the expression  $|\beta_2 - \beta_1| = (2n+1) \cdot 90^\circ$ , with  $n$  representing an integer.

45. The polarization-modulating optical element  
5 according to claim 44, wherein  $\beta_1$  and  $\beta_2$  are approximately conforming to the expressions  $\beta_1 = 90^\circ + p \cdot 180^\circ$ , with  $p$  representing an integer, and  $\beta_2 = q \cdot 180^\circ$ , with  $q$  representing an integer other than zero
- 10 46. An optical arrangement with a polarization-modulating optical element according to one of the claims 1 to 45, wherein the optical arrangement is configured so that at least one further polarization-modulating optical element can be put into the light path.
- 15 47. The optical arrangement of claim 46, wherein the further polarization-modulating optical element comprises a polarization-modulating optical element in accordance with at least one of the claims 1 to 45.
- 20 48. The optical arrangement of claim 46, wherein the further polarization-modulating optical element comprises a planar-parallel plate of an optically active crystal and/or a cuvette with optically active or optically  
25 inactive liquid.
49. The optical arrangement of claim 46, wherein the further polarization-modulating optical element comprises a rotator made of two half-wavelength plates that are  
30 rotated by  $45^\circ$  relative to each other.
50. The optical arrangement of claim 46, wherein the polarization-modulating optical element has an element axis in reference to which the thickness profile has a

variation that depends only on an azimuth angle  $\theta$ , wherein the azimuth angle  $\theta$  is measured from a reference axis that is oriented perpendicular to the element axis and intersects the element axis, wherein the thickness  
5 profile in a first azimuth angle range of  $10^\circ < \theta < 170^\circ$  is a linear function of the azimuth angle  $\theta$  with a first slope  $m$ , while in a second azimuth angle range of  $190^\circ < \theta < 350^\circ$  the azimuthal section is a linear function of the azimuth angle  $\theta$  with a second slope  $n$ , wherein the slopes  $m$  and  $n$   
10 have the same absolute magnitude but opposite signs, and wherein the further polarization-modulating optical element comprises a planar-parallel plate which is configured as a half-wavelength plate for a half-space that covers an azimuth-angle range of  $180^\circ$ .

15

51. The optical arrangement of claim 46, wherein the further polarization-modulating optical element causes a  $90^\circ$ -rotation of the oscillation plane of a linearly polarized light ray passing through said optical  
20 arrangement.

52. The optical arrangement according to anyone of the claims 46 to 51, wherein a compensation plate is arranged in the light path of the optical system, said  
25 compensation plate having a thickness profile configured to substantially compensate the angle deviations of transmitted radiation which are caused by the polarization-modulating optical element.

30 53. A projection system, comprising a radiation source, an illumination system operable to illuminate a structured mask, and a projection objective for projecting an image of the mask structure onto a light-sensitive substrate, wherein the polarization-modulating

optical element according to one of the claims 1 to 45 is arranged in the illumination system.

54. The projection system according to claim 53, wherein  
5 an optical arrangement according to one of the claims 46 to 52 is arranged in the illumination system.

55. The projection system according to claim 53 or claim  
54, wherein an immersion medium with a refractive index  
10 different from air is present between the substrate and the optical element nearest to the substrate.

56. Method of manufacturing micro-structured  
semiconductor components, comprising the step of using a  
15 projection system in accordance with one of the claims 53 to 55.

57. An optical system comprising an optical axis or a  
preferred direction given by the direction of a light  
20 beam propagating through the optical system, the optical system comprising a polarization-modulating optical element described by coordinates of a coordinate system, wherein one preferred coordinate of the coordinate system is parallel to the optical axis or parallel to said  
25 preferred direction, the polarization-modulating optical element comprises optical active material and a profile of effective optical thickness, wherein the effective optical thickness varies at least as a function of one coordinate different from the preferred coordinate of the  
30 coordinate system.

58. The optical system of claim 57, wherein the effective optical thickness varies due to variations of the specific rotation of the polarization-modulating

optical element.

59. The optical system of claim 57, wherein the effective optical thickness varies due to variations of the geometrical thickness of the polarization-modulating optical element.

60. The optical system according to one of the claims 57 to 59, wherein the polarization-modulating optical element comprises an optically active or an optically inactive liquid and/or an optically active crystal.

61. The optical system according to one of the claims 57 to 60, wherein the polarization-modulating optical element comprises clockwise and counterclockwise optically active materials.

62. The optical system according to one of the claims 57 to 61, wherein respective planes of oscillation of a first linearly polarized light ray and a second linearly polarized light ray, both propagating through the optical system and passing the polarization-modulating optical element with different paths, are rotated by a respective first and second angle of rotation such that the first angle is different of the second angle.

63. The optical system according to one of the claims 57 to 62, wherein the polarization-modulating optical element transforms a light bundle with a first linear polarization distribution, entering said polarization-modulating optical element, into a light bundle exiting said polarization-modulating optical element and having a second linear polarization distribution, wherein the second linear polarization distribution is different from



the first linear polarization distribution.

64. The optical system according to one of the claims 57 to 63, comprising a polarization control system for controlling the polarization distribution of the light beam, propagating through the optical system, at a predefined location in the optical system, the polarization control system comprises at least one heating or cooling device to modify the temperature and/or the temperature distribution of the polarization-modulating optical element to affect the polarization distribution of the light beam at the predefined location in the optical system.

65. An optical system comprising an optical axis or a preferred direction given by the direction of a light beam propagating through the optical system, the optical system comprising a polarization-modulating optical element described by coordinates of a coordinate system, wherein one preferred coordinate of the coordinate system is parallel to the optical axis or parallel to said preferred direction, the polarization-modulating optical element comprises solid and/or liquid optically active material, wherein the effective optical thickness is constant as a function of at least one coordinate different from the preferred coordinate of the coordinate system, the optical system comprises further a polarization control system for controlling the polarization distribution of the light beam, propagating through the optical system, at a predefined location in the optical system, the polarization control system comprises at least one heating or cooling device to modify the temperature and/or the temperature distribution of the polarization-modulating optical

element to affect the polarization distribution of the light beam at the predefined location in the optical system.

5     66.     The optical system according to claim 65, wherein the solid and/or liquid optically active material is a parallel plate or has the form of it, comprising optically active quartz with its optical axis parallel to the optical axis of the optical system or parallel to the  
10     preferred direction of the optical system.

67.     The optical system according to one of the claims 64 to 66, wherein the polarization control system comprises  
15     at least one temperature sensor providing a temperature sensor value representative for or equal to the temperature and/or the temperature distribution of the polarization-modulating optical element, a control circuit to control the at least one heating or cooling  
20     device dependent on said temperature sensor value by open or closed loop control.

68.     The optical system according to claim 67, wherein the polarization control system comprises a polarization  
25     measuring device providing a polarization value representative for or equal to the polarization or the polarization distribution of the light beam at the predefined location in the optical system, and the control circuit controls the at least one heating or  
30     cooling device dependent on said temperature sensor value and/or said polarization value by open or closed loop control.

69. The optical system according to one of the claims 67 to 68, wherein the control circuit comprises a computer system or is connected to a computer system.

5 70. The optical system according to one of the claims 64 to 69, comprising at least one additional optical element arranged between the polarization-modulating optical element and the predefined location in the optical system such that the light beam contacts the at least one  
10 additional optical element when propagating from the polarization-modulating optical element to the predefined location in the optical system.

15 71. The optical system according to claim 70, wherein the additional optical element comprises a lens, a prism, a mirror, a refractive or a diffractive optical element or an optical element comprising linear birefringent material.

20 72. The optical system according to one of the claims 57 to 71, wherein the polarization-modulating optical element comprises the polarization-modulating optical element in accordance with at least one of the claims 1 to 45.

25 73. The optical system according to one of the claims 57 to 71, wherein the polarization-modulating optical element comprises a parallel plate, the plate comprising optically active quartz with its optical axis parallel to  
30 the optical axis of the optical system or parallel to the preferred direction of the optical system.

74. The optical system according to one of the claims 64 to 73, wherein the heating device comprises at least one

infrared heater and/or at least one Peltier device arranged such that the infrared heater and the Peltier device is not in the optical path of the light beam propagating through the optical system.

5

75. An optical system comprising an optical axis or a preferred direction given by the direction of a light beam propagating through the optical system, the optical system comprising a temperature compensated polarization-modulating optical element described by coordinates of a coordinate system, wherein one preferred coordinate of the coordinate system is parallel to the optical axis or parallel to said preferred direction, the temperature compensated polarization-modulating optical element comprises a first and a second polarization-modulating optical element, the first and/or the second polarization-modulating optical element comprising solid and/or liquid optically active material and a profile of effective optical thickness, wherein the effective optical thickness varies at least as a function of one coordinate different from the preferred coordinate of the coordinate system, in addition or alternative the first and/or the second polarization-modulating optical element comprises solid and/or liquid optically active material, wherein the effective optical thickness is constant as a function of at least one coordinate different from the preferred coordinate of the coordinate system, wherein the first and the second polarization-modulating optical elements comprise optically active materials with specific rotations of opposite signs, or wherein the first polarization-modulating optical element comprises optically active material with a specific rotation of opposite sign compared to the optically active material

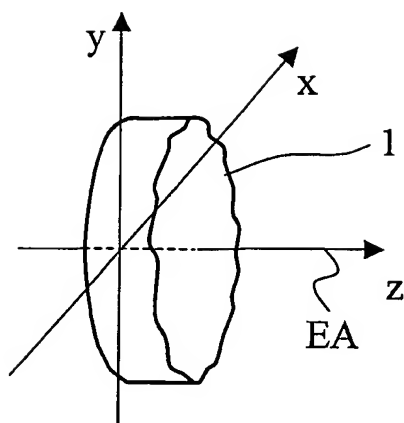
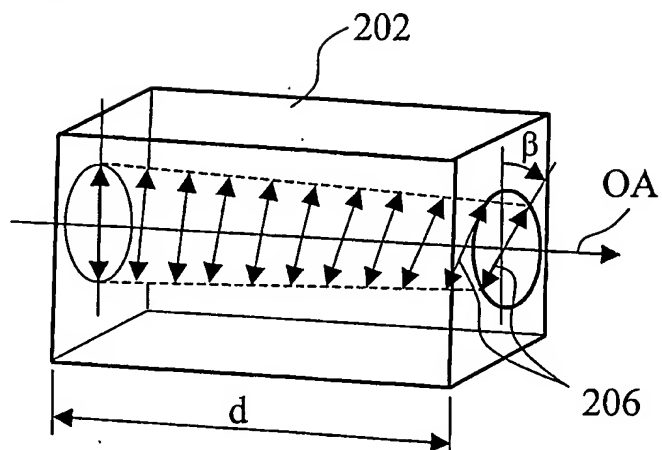
30

of the second polarization-modulating optical element.

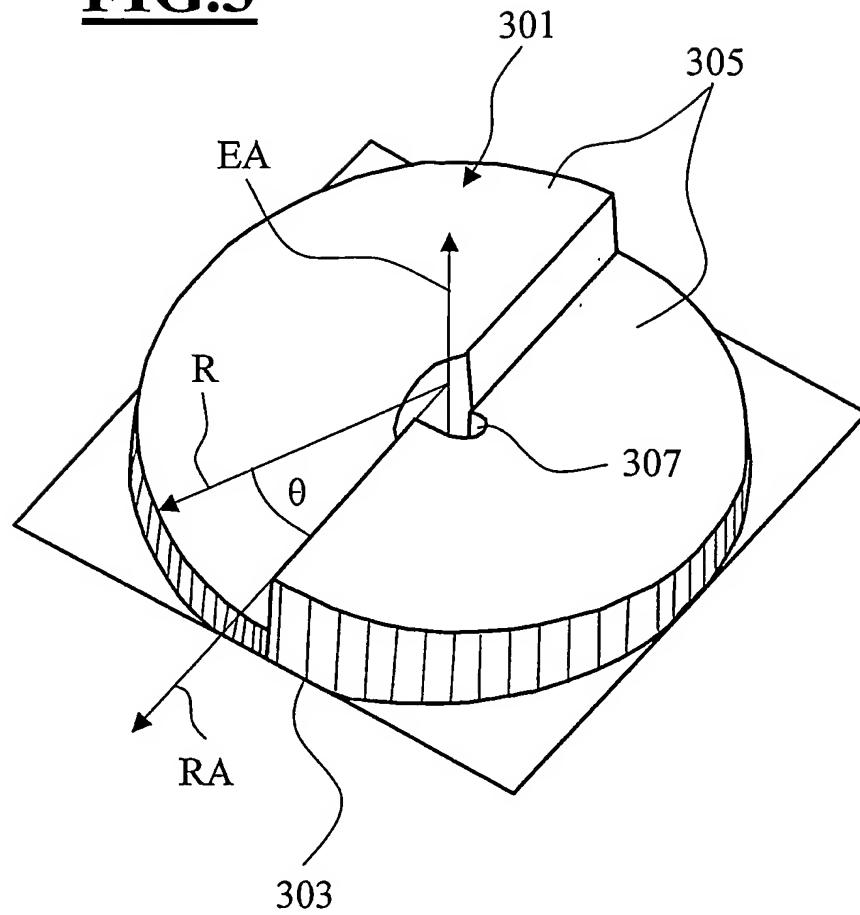
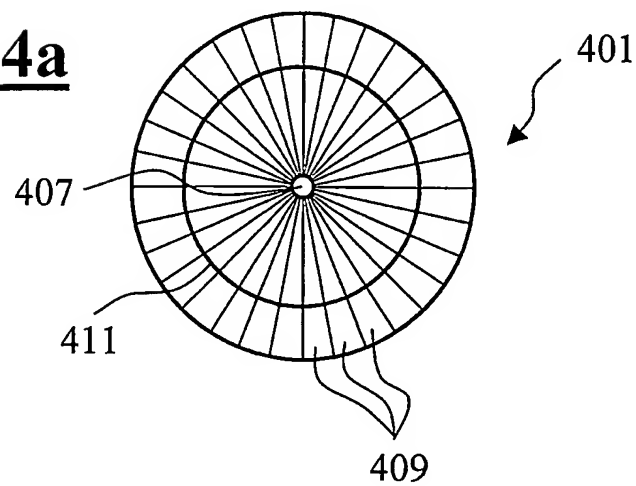
- 5        76.        The optical system of claim 76, wherein the first and the second polarization-modulating optical elements are plane plates with a first and a second thickness in the direction of the propagating light beam, the plates are made of optically active quartz with clockwise and counterclockwise specific rotation.
- 10       77.        The optical system of claim 76, wherein the absolute value of the difference of the first and the second thickness is smaller than the thickness of the smaller plate.
- 15       78.        The optical system in accordance of one of the claims 57 to 77, wherein at least one polarization-modulating optical element comprises optically active or inactive material which is subjected to a magnetic field with a field component parallel to the direction of the light beam propagating through the polarization-modulating optical element.
- 20
- 25       79.        A projection system, comprising a radiation source, an illumination system operable to illuminate a structured mask, and a projection objective for projecting an image of the mask structure onto a light-sensitive substrate, and comprising the optical system according to one of the claims 57 to 78.
- 30       80.        The projection system according to claim 79, wherein an immersion medium with a refractive index different from air is present between the substrate and the optical element nearest to the substrate.

81. Method of manufacturing micro-structured semiconductor components, comprising the step of using a projection system in accordance with one of the claims 79 or 80.

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**FIG.1****FIG.2**

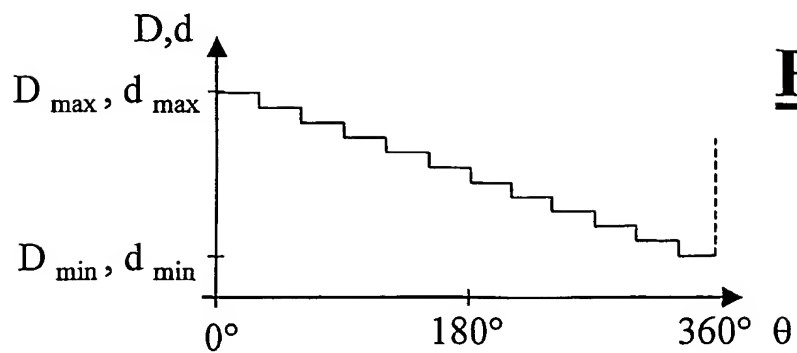
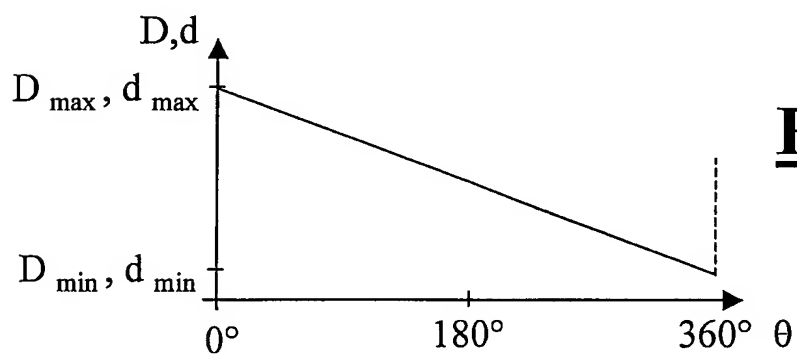
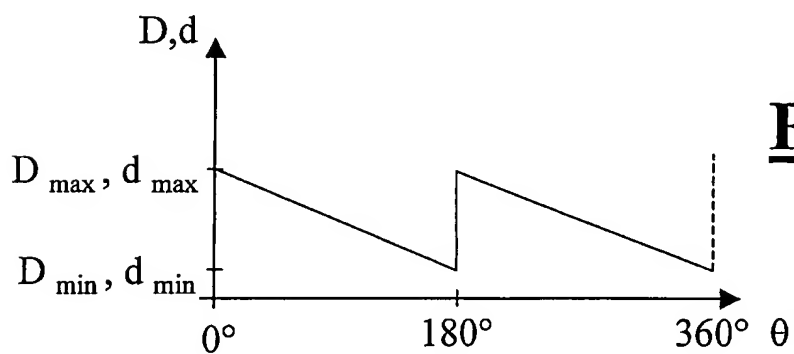
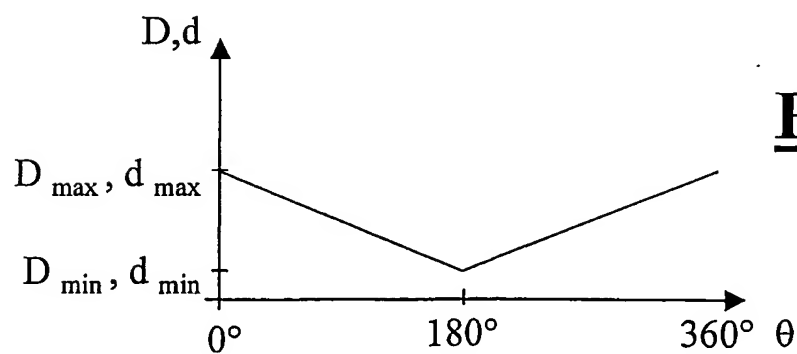
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**FIG.3****FIG.4a**

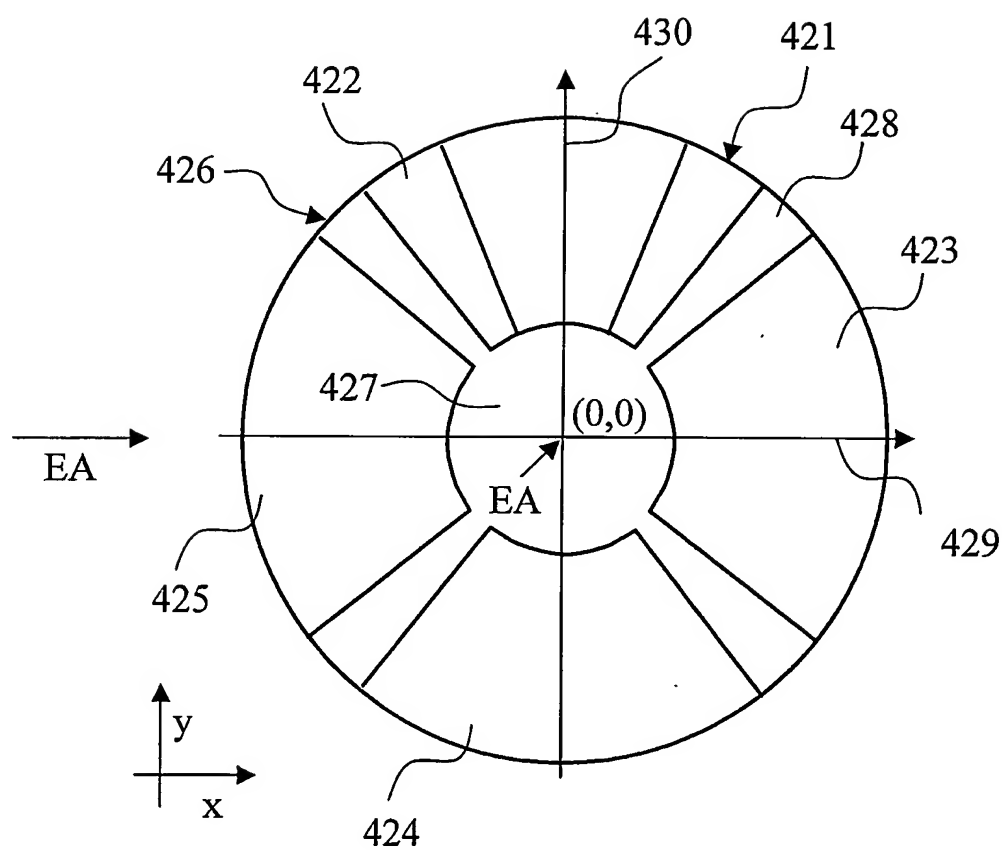
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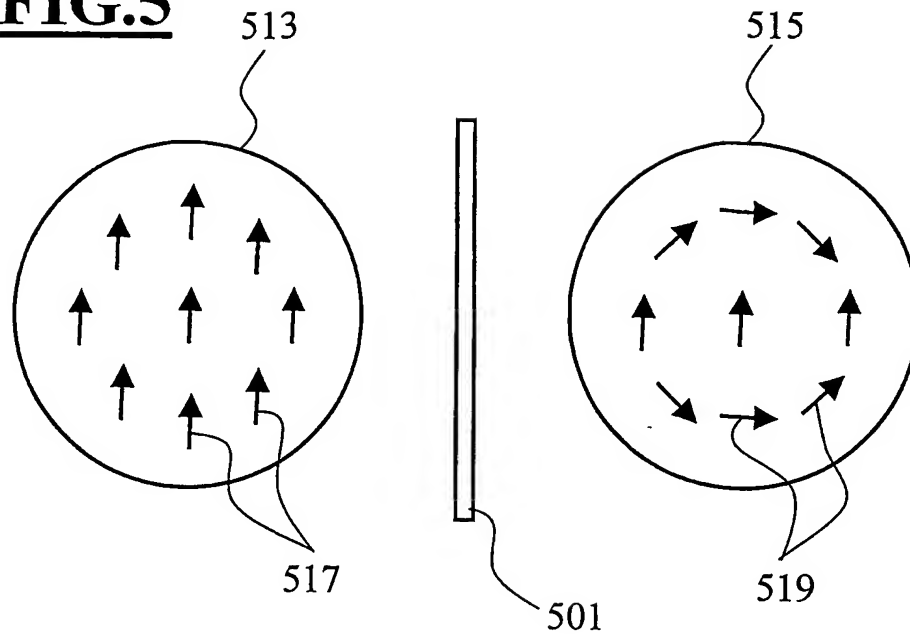
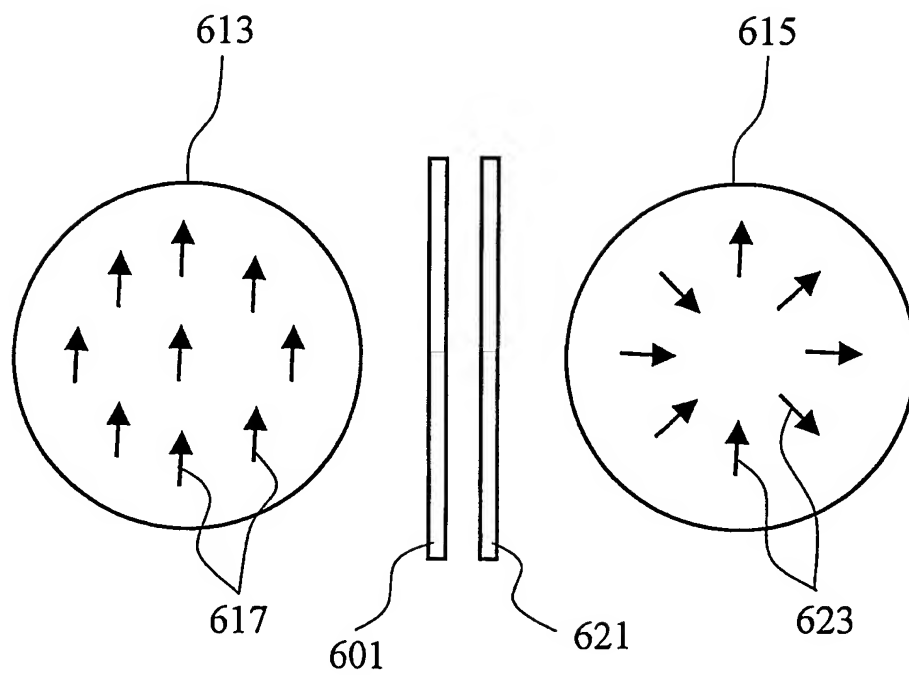
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**FIG. 4b****FIG. 4c****FIG. 4d****FIG. 4e**

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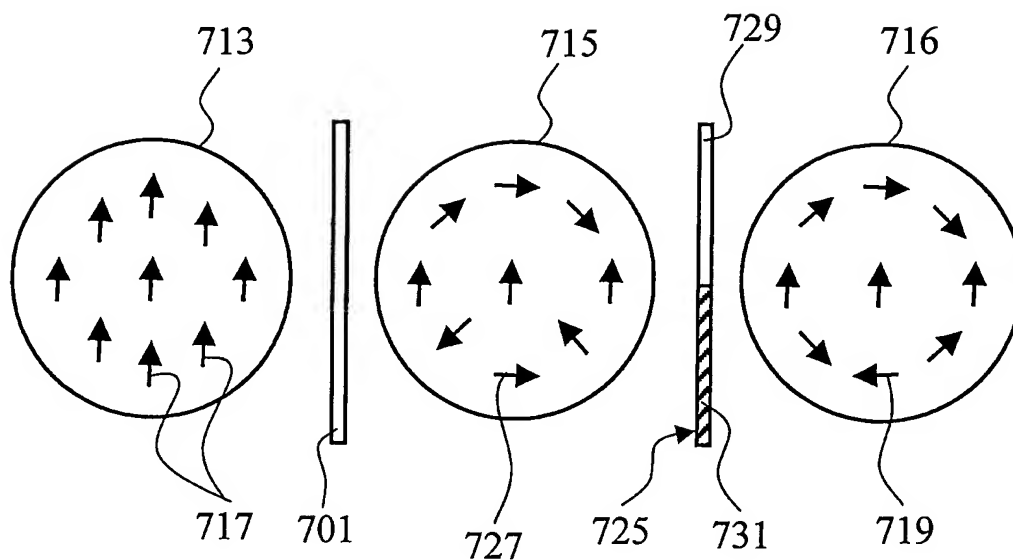
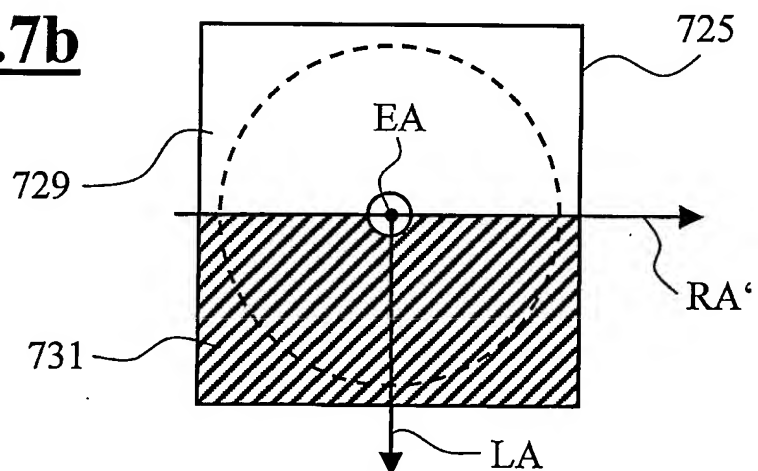
**FIG.4f**

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**FIG.5****FIG.6**

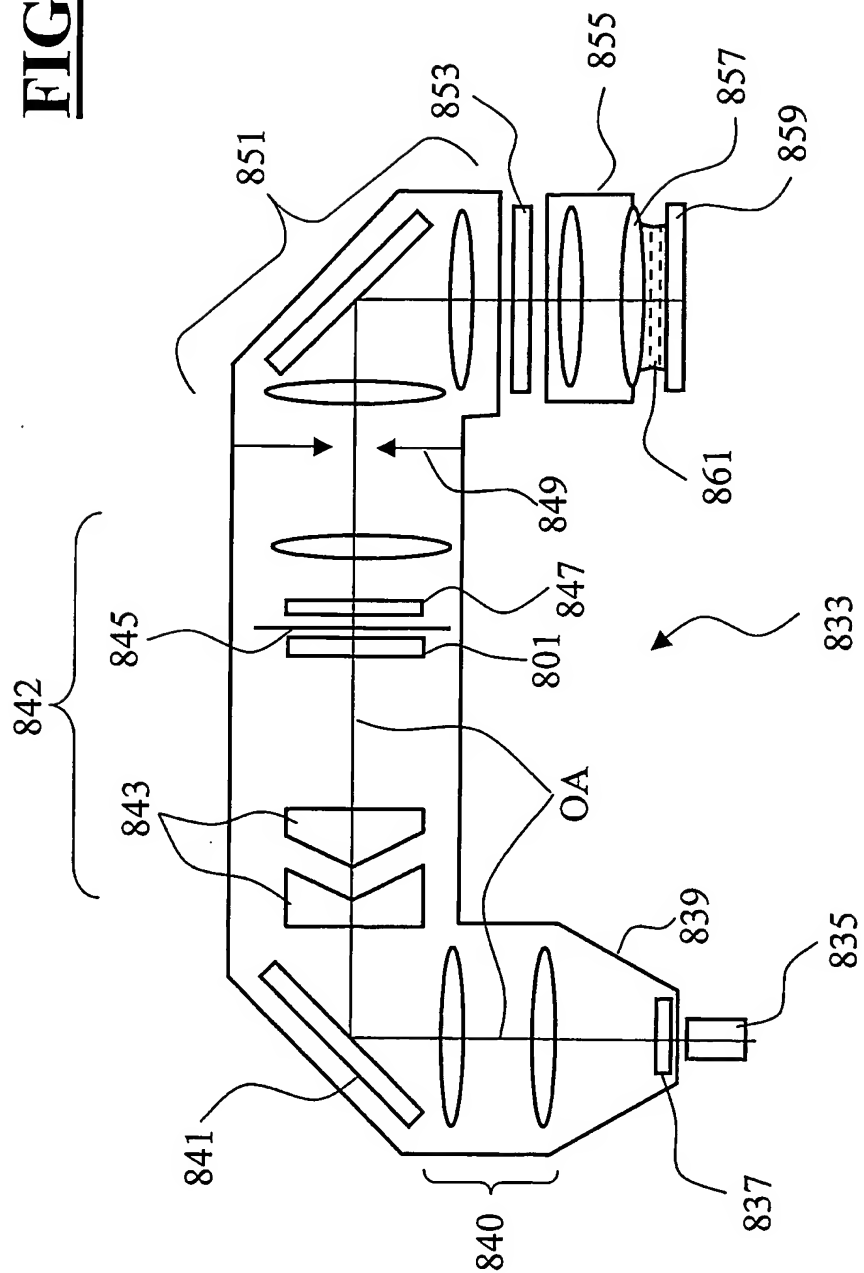
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**FIG.7a****FIG.7b**

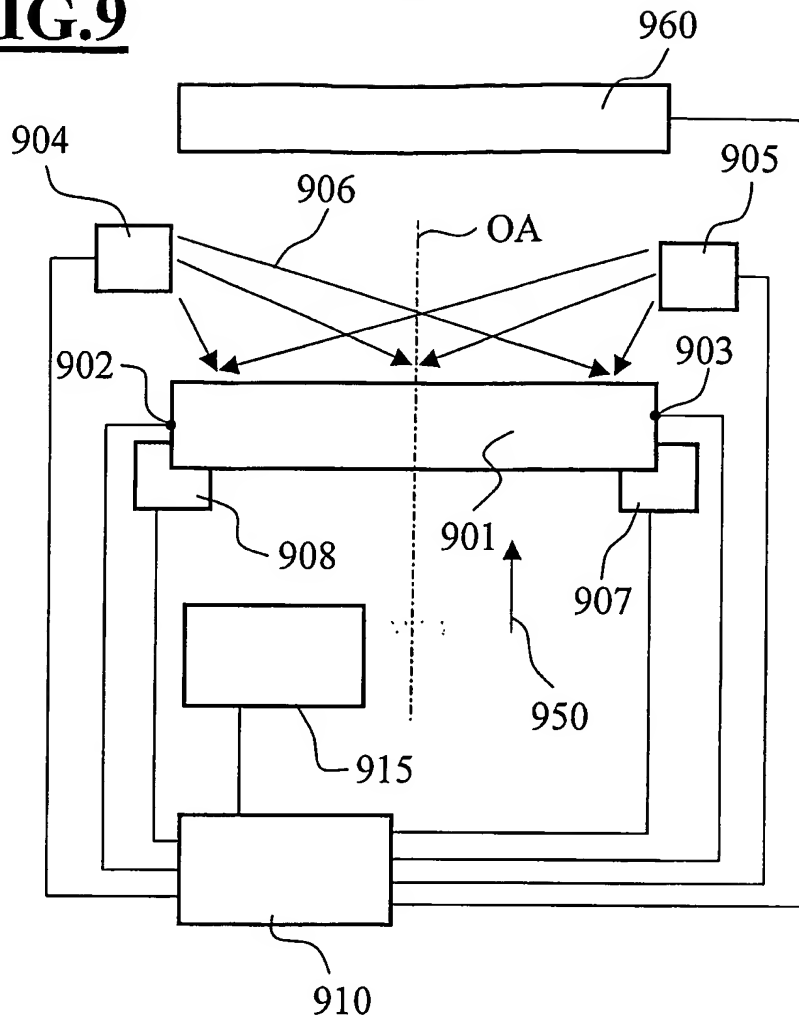
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**FIG. 8**

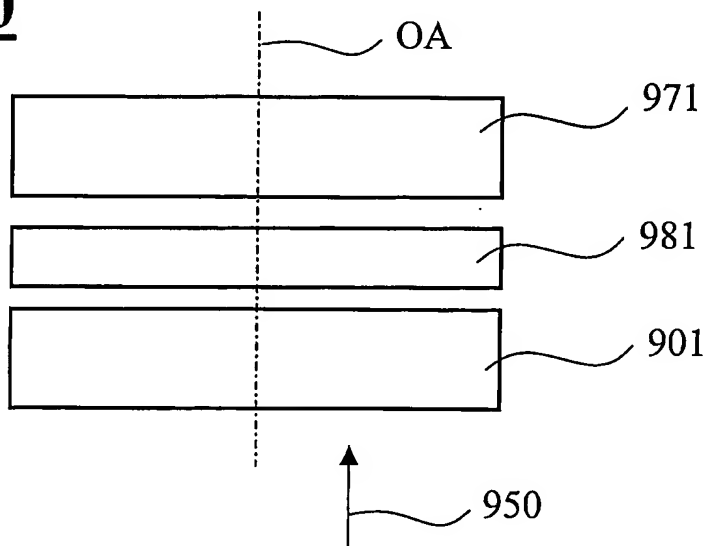


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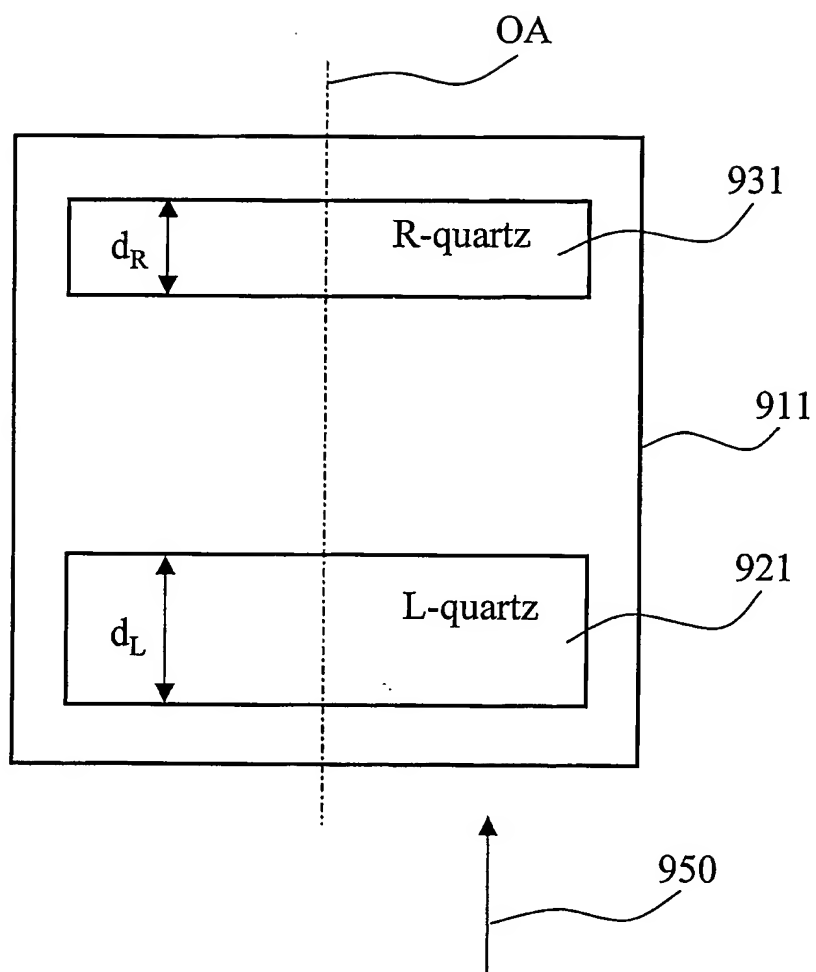
**FIG.9**



**FIG.10**



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**FIG.11**



**(43) International Publication Date**  
**28 July 2005 (28.07.2005)**

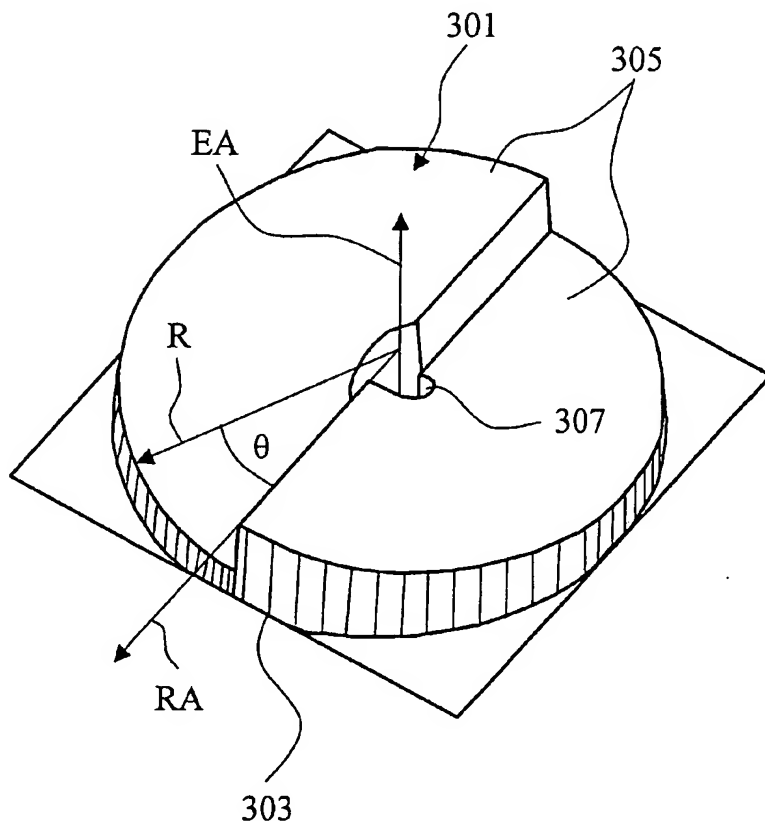
**PCT**

**(10) International Publication Number**  
**WO 2005/069081 A3**

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| <p>(51) <b>International Patent Classification<sup>7</sup>:</b><br/>G02B 27/28, 5/30</p> <p>(21) <b>International Application Number:</b><br/>PCT/EP2005/000320</p> <p>(22) <b>International Filing Date:</b> 14 January 2005 (14.01.2005)</p> <p>(25) <b>Filing Language:</b> English</p> <p>(26) <b>Publication Language:</b> English</p> <p>(30) <b>Priority Data:</b><br/>60/537,327      16 January 2004 (16.01.2004)      US</p> <p>(71) <b>Applicant (for all designated States except US):</b> CARL ZEISS SMT AG [DE/DE]; Carl-Zeiss-Strasse 22, 73447 Oberkochen (DE).</p> <p>(72) <b>Inventors; and</b></p> <p>(75) <b>Inventors/Applicants (for US only):</b> FIOŁKA, Damian [DE/DE]; Heckenrosenweg 36, 73446 Oberkochen (DE).</p> | <p><b>DEGUENTHER, Markus</b> [DE/DE]; Liebigstrasse 2, 73432 Aalen (DE).</p> <p>(74) <b>Agent:</b> MÜLLER-RISSMANN, Werner; Carl Zeiss AG, Patentabteilung, Carl-Zeiss-Str. 22, 73447 Oberkochen (DE).</p> <p>(81) <b>Designated States (unless otherwise indicated, for every kind of national protection available):</b> AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MY, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.</p> <p>(84) <b>Designated States (unless otherwise indicated, for every kind of regional protection available):</b> ARIPO (BW, GH,</p> |
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[Continued on next page]

**(54) Title:** POLARIZATION-MODULATING OPTICAL ELEMENT



**(57) Abstract:** A polarization-modulating optical element (1) consisting of an optically active crystal material has a thickness profile where the thickness, as measured in the direction of the optical axis, varies over the area of the optical element. The polarization-modulating optical element (1) has the effect that the plane of oscillation of a first linearly polarized light ray and the plane of oscillation of a second linearly polarized light ray are rotated, respectively, by a first angle of rotation and a second angle of rotation, with the first angle of rotation and the second angle of rotation being different from each other.





GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

(88) Date of publication of the international search report:  
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**Published:**

— *with international search report*

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP2005/000320

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 G03F7/20 G02B27/28 G02B5/30

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G02B G03F G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	GB 856 621 A (NATIONAL RESEARCH DEVELOPMENT CORPORATION) 21 December 1960 (1960-12-21) page 3, line 11 - line 20; figure 4	1-8
Y	US 5 867 315 A (KOIKE ET AL) 2 February 1999 (1999-02-02) abstract column 10, line 5 - line 29 column 11, line 24 - line 31; figure 10	1-8
X	US 3 630 598 A (WILLIAM S. LITTLE JR) 28 December 1971 (1971-12-28)	32
A	column 4, line 45 - line 67	33-55

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

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- "E" earlier document but published on or after the International filing date
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- "P" document published prior to the International filing date but later than the priority date claimed

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- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the International search

9 August 2005

Date of mailing of the International search report

20.09.2005

Name and mailing address of the ISA

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Authorized officer

Michel, A

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2005/000320

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☒ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:  
1-55
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-31

Claim 1 relates to a polarization-modulating optical element comprising an optically active crystal having an optical axis (claims 2-31 are dependent thereupon).

The problem to be solved is how to rotate the oscillation plane of the electrical field vector of linearly polarized light by an angle proportional to the distance travelled in said crystal.

The special characteristic is the thickness profile being variable along said optical axis.

---

2. claims: 32-55

Claim 32 relates to a polarization-modulating optical element comprising an optically active crystal (claims 33-55 are dependent thereupon).

The problem to be solved is how to produce an arbitrarily selected distribution of the oscillation planes of the electrical field vector of linearly polarized light traversing said crystal.

The special characteristic is the two different angles at which two different linearly polarized light rays are rotated.

---

3. claim: 56

Claim 56 relates to a method for manufacturing a micro-structured semiconductor component.

The problem to be solved is how to increase the achievable resolution by enabling immersion microlithography technique.

The special method step is using a projection system where a polarization modulating optical element comprising an optically active crystal is arranged in its illumination system.

---

4. claims: 57-64

Claim 57 relates to an optical system having an optical axis and a polarization modulating element comprising an optically active material (claims 58-64 are dependent thereupon).

The problem to be solved is how to... produce a tangential polarization distribution.

The special characteristic is the effective thickness profile being variable in a direction non parallel to said optical axis.

---

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

## 5. claims: 65-74

Claim 65 relates to an optical system having an optical axis and a polarization modulating element (claims 66-74 are dependent thereupon).

The problem to be solved is how to thermally control the change of polarization.

The special characteristics are the:

- effective thickness profile being constant along the optical axis of a solid and/or liquid optically active material therein;
  - polarization control system having a heating or cooling device.
- 

## 6. claims: 75-80

Claim 75 relates to an optical system having an optical axis and two polarization modulating elements (claims 76-80 are dependent thereupon).

The problem to be solved is how to athermalise the system.

The special characteristics are the:

- two polarization modulating elements having optically active materials with specific rotations of opposite signs; and
  - effective thickness profile of one polarization modulating element being variable in a direction non parallel to the optical axis of a solid and/or liquid optically active material therein; and/or
  - effective thickness profile of one polarization modulating element being constant in a direction non parallel to the optical axis of a solid and/or liquid optically active material therein.
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## 7. claim: 81

Claim 81 relates to a method for manufacturing micro-structured semiconductor components.

The problem to be solved is how to control the change of polarization by Faraday effect.

The special method step is using a projection system comprising a polarization modulating optical element having optically active or inactive material subjected to a magnetic field.

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**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International Application No  
**PCT/EP2005/000320**

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